Edgar Filing: Yin Steven L - Form 4

| Yin Steven L | , | | | | | | | | | | |
|--|------------------|----------------|--|---|-------------|--------------------|----------------|--|---------------------------------------|-------------------------|--|
| Form 4 | | | | | | | | | | | |
| November 20 |), 2009 | | | | | | | | | | |
| FORM | | | CECUD | | ND EV(| • • • • • • | | COMMISSION | OMB APPROVAL | | |
| | | shington, | | | NGE (| .0mm15510n | OMB Number: | 3235-0287 | | | |
| Check thi | | | v v cic | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | D.C. 20. | | | | | January 31 | |
| if no long | | EMENT O | F CHAN | GES IN | BENEFI | CIA | LOW | NERSHIP OF | Expires: 200 | | |
| subject to Section 1 | | | | SECURITIES | | | | | Estimated average burden hours per | | |
| Form 4 or | | | | | | | | | response | 0.5 | |
| Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | | | | |
| obligatior may conti | | | | | | | | f 1935 or Section | n | | |
| See Instru | | 30(h) | of the In | vestment | Company | y Act | of 194 | 40 | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type R | (esponses) | | | | | | | | | | |
| | 1 | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> | | | 2. Issuer | 2. Issuer Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to | | | |
| Yin Steven L | | | Symbol | | | | | Issuer | | | |
| | | | ST. BEI | ST. BERNARD SOFTWARE, INC. | | | | (Check all applicable) | | | |
| | | | [SBSW | OB] | | | | (Chec | k all applicable | ;) | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | Director 10% Owner | | | | | |
| | | | (Month/Day/Year) | | | | | XOfficer (give titleOther (specify below) below) | | | |
| 15015 AVE | NUE OF SCIE | NCE | 11/18/2 | 009 | | | | <i>'</i> | ales & Marketi | ng | |
| (Street) | | | 4. If Amendment, Date Original | | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | | Filed(Month/Day/Year) | | | | Applicable Line) | | | |
| | | | | | | | | _X_ Form filed by C Form filed by M | | | |
| SAN DIEGO | D,, CA 92128 | | | | | | | Person | | r8 | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | Securi | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction D | ate 2A. Dee | med | 3. | 4. Securit | ies Ac | quired | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Yea | ar) Executio | on Date, if | Transaction(A) or Disposed of (D) | | | | Securities | Form: Direct | | |
| (Instr. 3) | | any (Month/ | Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) | | | | 5) | - | | Beneficial Ownership | |
| | | (Ivionui) | Day/Tear) | (Instr. 8) | | | | Following | Indirect (I) (Instr. 4) | (Instr. 4) | |
| | | | | | | (A) | | Reported | | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | |
| a | | | | Code V | Amount | (D) | Price | (Insu: 5 and 4) | | | |
| Common | 11/18/2009 | | | А | 20,000 | А | \$ | 22,000 | D | | |
| Stock | | | | | | | 0.23 | | | | |
| Common | 11/19/2009 | | | А | 28,000 | А | \$ | 50,000 | D | | |
| Stock | 1,1,2,2007 | | | | _0,000 | •• | 0.22 | - 0,000 | _ | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer Expiration D (Month/Day | Date | 7. Title and A Underlying S (Instr. 3 and | Securit |
|---|---|---|---|--|--|--|--------------------|---|---------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sh |
| Non-Qualified Stock Option (right to buy) | \$ 0.15 | 04/02/2009 | | А | 25,000 | <u>(1)</u> | 04/02/2019 | Common Stock | 25,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|------------------------|-------|--|--|--|
| r. o | Director | 10% Owner | Officer | Other | | | |
| Yin Steven L 15015 AVENUE OF SCIENCE SAN DIEGO,, CA 92128 | | | EVP, Sales & Marketing | | | | |
| Signaturas | | | | | | | |

Signatures

| /s/ Steven L. Yin | 11/20/2009 |
|--|------------|
| <u>**</u> Signature of Reporting Person | Date |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares subject to the stock options vest and become exercisable over a three (3) year period, with one-third (1/3) of the shares subject to the stock options vest and become exercisable on the first anniversary on 04/02/2010 and the remaining two thirds (2/3) vesting over

(1) to the stock options vest and become exercisable on the first anniversary on 04/02/2010 and the remaining two times (213) vesting over the remaining two (2) years on a monthly basis thereafter (such shares to vest on the first day of each month thereafter until such shares are vested in full).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.