

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 5

INTERNATIONAL ASSETS HOLDING CORP

Form 5

January 09, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

1. Name and Address of Reporting Person*

| | | |
|------------------------------|---------|----------|
| GERARD | GREGORY | T. |
| (Last) | (First) | (Middle) |
| 250 PARK AVENUE S. SUITE 200 | | |
| ----- | | |
| WINTER PARK | FL | 32789 |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol
INTERNATIONAL ASSETS HOLDING CORPORATION -- IAAC

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year
DECEMBER, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) SR. VICE PRESIDENT | <input type="checkbox"/> Other (specify below) |

7. Individual or Joint/Group Reporting
(check applicable line)
- Form Filed by One Reporting Person
- Form Filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficia Owned at end of Issuer's Fiscal Ye (Instr. 3) |
|--|---|---|---|---------------|---------|--|
| | | | Amount | (A) or (D) | Price | |
| International Assets Holding Corp. Common | 12/13/00 | P4 | 1000 | A | \$3.969 | 16,000 |

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

FORM 5 (continued)

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exercise Price of Deriv- ative Security | 3. Trans- action Date (Month/ Day/ Year) | 4. Transac- tion Code (Instr. 8) |
|---|--|---|--|
| International Assets Holding Corp. Options | 4.25 | 1/29/01 | J4* |

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| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10 | | |
|--|---|--|---|------|--------|--|
| Date Exercisable | Expiration Date | Amount or Number of Shares | Number of Year | | | |
| 1/29/02** | 1/29/11 | Common | 10,000 | 4.25 | 31,800 | |

Explanation of Responses: *GRANT OF OPTIONS FROM ISSUER **3330 exercisable on 1/29/02; 3330 exercisable on 1/29/03; and 3340 exercisable on 1/29/04.

/s/ GREGORY T. GERARD 01/08/02

**Signature of Reporting Person Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.