

Pierce Robert W Jr
Form 4
February 07, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pierce Robert W Jr

2. Issuer Name and Ticker or Trading Symbol
UFP TECHNOLOGIES INC [UFPT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

C/O UFP TECHNOLOGIES, INC., 100 HALE STREET

3. Date of Earliest Transaction (Month/Day/Year)
02/06/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEWBURYPORT, MA 01950

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount or Price			
Common Stock, \$.01 Par Value	02/06/2018		M		1,060 (2)	A	\$ 12.37 39,150	D
Common Stock, \$.01 Par Value	02/06/2018		M		3,500 (2)	A	\$ 10.14 42,650	D
Common Stock, \$.01 Par Value	02/06/2018		M		4,734 (1)	A	\$ 4.13 47,384	D
Common Stock, \$.01	02/06/2018		M		3,500 (1)	A	\$ 4.23 50,884	D

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Par Value

Common Stock, \$.01 Par Value	02/06/2018	M	<u>2,907</u> (1)	A	\$ 9.09	53,791	D
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Common Stock, \$.01 Par Value	02/06/2018	M	<u>3,500</u> (1)	A	\$ 9.25	57,291	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 12.37	02/06/2018		M	1,060	06/04/2008 06/04/2018	Common Stock, \$.01 Par Value	1,060	
Stock Option (Right to Buy)	\$ 10.14	02/06/2018		M	3,500	07/01/2008 07/01/2018	Common Stock, \$.01 Par Value	3,500	
Stock Option (Right to Buy)	\$ 4.13	02/06/2018		M	4,734	06/03/2009 06/03/2019	Common Stock, \$.01 Par Value	4,734	
Stock Option (Right to Buy)	\$ 4.23	02/06/2018		M	3,500	07/01/2009 07/01/2019	Common Stock, \$.01 Par Value	3,500	
Stock Option	\$ 9.09	02/06/2018		M	2,907	06/09/2010 06/09/2020	Common Stock,	2,907	

(Right to Buy)									\$.01 Par Value	
Stock Option (Right to Buy)	\$ 9.25	02/06/2018	M	3,500	07/01/2010	07/01/2020			Common Stock, \$.01 Par Value	3,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pierce Robert W Jr C/O UFP TECHNOLOGIES, INC. 100 HALE STREET NEWBURYPORT, MA 01950	X			

Signatures

Patrick J. Kinney, Jr. as attorney-in-fact for Robert W. Pierce, Jr. 02/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares issued under the issuer's 2009 Non-Employee Director Stock Incentive Plan
- (2) Represents shares issued under the issuer's 1998 Directors Stock Option Incentive Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.