#### **UMPQUA HOLDINGS CORP**

Form 4 April 25, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

2005

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16.

January 31, Expires:

Estimated average burden hours per

Form 5 obligations may continue.

See Instruction

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

**OMB APPROVAL** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* POPE MARIA M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

UMPQUA HOLDINGS CORP

(Check all applicable)

[UMPQ]

(Month/Day/Year)

04/24/2014

(Last) (First) (Middle) 3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

ONE SW COLUMBIA STREET,

(Street)

**SUITE 1200** 

(City)

4. If Amendment, Date Original

Applicable Line)

6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PORTLAND, OR 97258

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Indirect (I) Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(Month/Day/Year)

(A) or

Transaction(s) (Instr. 3 and 4)

Reported

Common 04/24/2014 Stock

Code V Amount (D) Price 2,000 \$0 A

(1)

3,953

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: UMPQUA HOLDINGS CORP - Form 4

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	Title	Amou or Numb of

Code V (A) (D)

Relationshins

**Stock Option** 

Non-Qualified

(right to buy)

\$ 14.55

Common 07/30/2013(2) 07/29/2023 Stock

Shares

3,25

## **Reporting Owners**

Reporting Owner Name / Address							
	Director	10% Owner	Officer	Other			
MARIA M							

POPE N ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258

## **Signatures**

By: Steven L. Philpott, Attorney-in-Fact For: Maria M. 04/25/2014 Pope

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) RSA granted 4/24/14 to directors on the Issuer's board of directors. The grant vests 100% on the day before the 2015 Annual Meeting.
- (2) Beginning on the first anniversary of the grant date, the options vest 25% per year over four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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