### Edgar Filing: AVENTINE RENEWABLE ENERGY HOLDINGS INC - Form 3/A

### AVENTINE RENEWABLE ENERGY HOLDINGS INC

Form 3/A July 07, 2006

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

owned directly or indirectly.

1. Name and Address of Reporting

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AVENTINE RENEWABLE ENERGY HOLDINGS INC À AVENTINE RENEWABLE (Month/Day/Year) [AVR] ENERGY HOLDINGS LLC 06/28/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O METALMARK CAPITAL 06/28/2006 (Check all applicable) LLC. 1177 AVENUE OF THE AMERICAS, 40TH FLOOR \_\_X\_\_ 10% Owner Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Form filed by One Reporting Person NEW YORK, NYÂ 10036 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5)  $D^{(1)(2)(3)}$  Â Common Stock, par value \$0.001 per share 13,914,982

> required to respond unless the form displays a currently valid OMB control number.

Persons who respond to the collection of information contained in this form are not

Reminder: Report on a separate line for each class of securities beneficially

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

### Edgar Filing: AVENTINE RENEWABLE ENERGY HOLDINGS INC - Form 3/A

		(Instr. 4)		Price of	Derivative
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address		Relationships			
		10% Owner	Officer	Other	
AVENTINE RENEWABLE ENERGY HOLDINGS LLC C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	Â	
Metalmark Capital LLC C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	Â	
MSDW Capital Partners IV, L P C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	Â	
MSDW IV 892 INVESTORS L P C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂΧ	Â	Â	
MORGAN STANLEY DEAN WITTER CAPITAL INVESTORS IV L P C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	Â	
MSDW CAPITAL PARTNERS IV LLC C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	Â	
MSDW CAPITAL PARTNERS IV INC C/O METALMARK CAPITAL LLC 1177 AVENUE OF THE AMERICAS, 40TH FLOOR NEW YORK, NY 10036	Â	ÂX	Â	Â	
Signatures					
**/s/ Kenneth F. Clifford, as authorized signatory of Aventine Renewable Energy Holdings LLC				07/05/2006	
**Signature of Reporting Person				Date	
**/s/ Kenneth F. Clifford, as authorized signatory of Metalmark				07/05/2006	
**Signature of Reporting Person				Date	

Reporting Owners 2

### Edgar Filing: AVENTINE RENEWABLE ENERGY HOLDINGS INC - Form 3/A

\*\*/s/ Kenneth F. Clifford, Chief Financial Officer of Metalmark, as attorney-in-fact for MSDW Capital Partners and MSDW Inc. and for the institutional managing member of the general partner of each of MSDW IV, MSDW 892, and MSDW Capital Investors

07/05/2006

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Morgan Stanley Dean Witter Capital Partners IV, L.P. ("MSDW IV") may be deemed to indirectly beneficially own 11,568,376 shares of the reported securities and MSDW IV 892 Investors, L.P. ("MSDW 892"), may be deemed to indirectly beneficially own 986,978
- (1) shares of the reported securities, in each case by virtue of their direct ownership interest in the Reporting Person. Metalmark Capital LLC ("Metalmark") may be deemed to have beneficial ownership of the 12,555,354 shares of the reported securities indirectly beneficially owned by MSDW IV and MSDW 892. (continued on footnote 2)
  - (Continued from Footnote 1) Morgan Stanley Dean Witter Capital Investors IV, L.P. ("MSDW Capital Investors") may be deemed to indirectly beneficially own 316,519 shares of the reported securities through its direct ownership interest in the Reporting Person.
- MSDW Capital Partners IV, LLC ("MSDW Capital Partners") is the general partner of MSDW IV, MSDW 892 and MSDW Capital Investors. MSDW Capital Partners IV, Inc. ("MSDW Inc.") is the institutional managing member of MSDW Capital Partners. By virtue of these relationships, each of MSDW Capital Investors and MSDW Capital Partners may be deemed to have beneficial ownership of the 316,519 shares of the reported securities indirectly beneficially owned by MSDW Capital Investors.
- (3) MSDW IV, MSDW 892, MSDW Capital Investors, MSDW Capital Partners, MSDW Inc. and Metalmark each disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interests therein.

Â

#### **Remarks:**

Due to a third party administrative error, the Form 3 for Aventine Renewable Energy Holdings, LL

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3