

WACHOVIA CORP NEW

Form 4

February 21, 2008

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KELLY STANHOPE A**

(Last) (First) (Middle)

**WACHOVIA CORPORATION, 932  
KENLEIGH CIRCLE**

(Street)

**WINSTON SALEM, NC 27106**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**WACHOVIA CORP NEW [WB]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/19/2008**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify  
below) below)

SEVP, Pres of Wealth Mgmt.

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or<br>(D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 02/19/2008                              |                                                             | A                                    | 43,800<br>(1)                                                                                  | A \$ 0                                                                                                          | 212,341.0436<br>(4)                                                     | D                                                                 |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                                                | 1,815                                                                                                           | I                                                                       | By<br>Daughter 2<br>(HK)                                          |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                                                | 2,065                                                                                                           | I                                                                       | By<br>Daughter                                                    |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                                                | 1,815.7163                                                                                                      | I                                                                       | By RSPSP<br>Trust/401k                                            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date Exercisable                                                    | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| ESOP<br>(Right to<br>Buy) <sup>(3)</sup>            | \$ 33.79                                                              | 02/19/2008                              |                                                             | A                                       |                                                                                                              | 43,800                                                         |     | 02/19/2009 <sup>(2)</sup>                                           | 02/19/2018         | Common<br>Stock | 43,800                              |
| ESOP<br>(Right to<br>Buy) <sup>(3)</sup>            | \$ 41                                                                 | 02/19/2008                              |                                                             | A                                       |                                                                                                              | 13,036                                                         |     | 02/19/2009 <sup>(2)</sup>                                           | 02/19/2018         | Common<br>Stock | 13,036                              |
| ESOP<br>(Right to<br>Buy) <sup>(3)</sup>            | \$ 48                                                                 | 02/19/2008                              |                                                             | A                                       |                                                                                                              | 15,209                                                         |     | 02/19/2009 <sup>(2)</sup>                                           | 02/19/2018         | Common<br>Stock | 15,209                              |

## Reporting Owners

| Reporting Owner Name / Address                                                             | Relationships                    |
|--------------------------------------------------------------------------------------------|----------------------------------|
|                                                                                            | Director 10% Owner Officer Other |
| KELLY STANHOPE A<br>WACHOVIA CORPORATION<br>932 KENLEIGH CIRCLE<br>WINSTON SALEM, NC 27106 | SEVP, Pres of Wealth Mgmt.       |

## Signatures

Stanhope A.  
Kelly 02/20/2008

<sup>\*\*</sup>Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The 2008 Performance Retention restricted stock award vest in substantially equal installments on each of the 3 anniversaries of the date of grant beginning Feb. 19, 2009, assuming that certain financial performance targets are met.
- (2) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year) beginning Feb. 19, 2009.
- (3) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (4) Includes 102,049 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of share s to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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