**Unum Group** Form 4 December 04, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

Form 5

1(b).

(Last)

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person \* MCCARTHY KEVIN P

(First)

Symbol

(Middle)

Unum Group [UNM]

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

3. Date of Earliest Transaction

1 FOUNTAIN SQUARE

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

12/02/2013

EVP & Chief Operating Officer

(Check all applicable)

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CHATTANOOGA, TN 37402

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	12/02/2013		M(1)	40	A	\$ 23.74	177,033 (2)	D	
Common Stock	12/02/2013		M <u>(1)</u>	40	A	\$ 20.78	177,073 (3)	D	
Common Stock	12/02/2013		M <u>(1)</u>	40	A	\$ 26.29	177,113 <u>(4)</u>	D	
Common Stock	12/02/2013		M <u>(1)</u>	40	A	\$ 23.35	177,153 <u>(5)</u>	D	
Common Stock	12/02/2013		S <u>(1)</u>	160	D	\$ 34	176,993 <u>(6)</u>	D	

Common Stock 1,856.36 I By N-Q 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)				ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Derir Secu (Inst
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 23.74	12/02/2013		M <u>(1)</u>		40	<u>(7)</u>	02/21/2016	Common Stock	40	\$
Employee Stock Option (right to buy)	\$ 20.78	12/02/2013		M <u>(1)</u>		40	<u>(8)</u>	02/25/2018	Common Stock	40	\$
Employee Stock Option (right to buy)	\$ 26.29	12/02/2013		M <u>(1)</u>		40	<u>(9)</u>	02/22/2019	Common Stock	40	\$
Employee Stock Option (right to buy)	\$ 23.35	12/02/2013		M <u>(1)</u>		40	(10)	02/21/2020	Common Stock	40	\$

#### Edgar Filing: Unum Group - Form 4

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCCARTHY KEVIN P 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402

**EVP & Chief Operating Officer** 

## **Signatures**

/s/ Jullienne, J. Paul, Attorney-in-Fact

12/04/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2013.
- (2) Includes 84,727 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 92,306 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (3) Includes 84,727 stock-settled RSUs, and 92,346 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (4) Includes 84,727 stock-settled RSUs, and 92,386 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (5) Includes 84,727 stock-settled RSUs, and 92,426 shares of common stock. Fractional amounts have been rounded to the nearest whole
- (6) Includes 84,727 stock-settled RSUs, and 92,266 shares of common stock. Fractional amounts have been rounded to the nearest whole number
- (7) The options vest in three equal annual installments beginning on February 21, 2009.
- (8) The options vest in three equal annual installments beginning on February 25, 2011.
- (9) The options vest in three equal annual installments beginning on February 22, 2012.
- (10) The options vest in three equal annual installments beginning on February 21, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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