

CHEVRON CORP
Form 3
January 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

JOHNSON JAMES
WILLIAM

(Last) (First) (Middle)

6001 BOLLINGER CANYON
ROAD

(Street)

SAN RAMON, CA 94583

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
01/01/2014

3. Issuer Name and Ticker or Trading Symbol
CHEVRON CORP [CVX]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,952 ⁽¹⁾

I

by 401(k) plan

Common Stock

9,497

I

by JWJ Revocable Trust

Common Stock

1,000

I

by Spouse Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Non-Qualified Stock Option (Right to Buy)	Â (2)	03/28/2017	Common Stock	13,000	\$ 74.08	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (3)	03/26/2018	Common Stock	31,000	\$ 84.96	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (4)	03/25/2019	Common Stock	19,000	\$ 69.7	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (5)	01/27/2020	Common Stock	38,000	\$ 73.7	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (6)	01/26/2021	Common Stock	38,000	\$ 94.64	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (7)	01/25/2022	Common Stock	78,000	\$ 107.73	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (8)	01/30/2023	Common Stock	77,500	\$ 116.45	D	Â
Phantom Stock Units	Â (9)	Â (9)	Common Stock	2,032	\$ (9)	I	Excess Benefit Plan
Phantom Stock Units	Â (10)	Â (10)	Common Stock	4,000	\$ (10)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JOHNSON JAMES WILLIAM 6001 BOLLINGER CANYON ROAD SAN RAMON, CA 94583	Â	Â	Â Senior Vice President	Â

Signatures

Christopher A. Butner on behalf of James William Johnson

01/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of January 2, 2014, this number represents the reporting person's shares of Chevron Corporation common stock under the Chevron Employee Savings Investment Plan, a 401(k) plan.

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- (2) Option granted 3/28/2007. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (3) Option granted 3/26/2008. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (4) Option granted 3/25/2009. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (5) Option granted 1/27/2010. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (6) Option granted 1/26/2011. One-third of the shares subject to the option vested on each of the first and second anniversaries of the date of grant. The balance of the shares vests on the third anniversary of the date of grant.
- (7) Option granted 1/25/2012. One-third of the shares subject to the option vested on the first anniversary of the date of grant. The balance of the shares vests each of the second and third anniversaries of the date of grant.
- (8) Option granted 1/30/2013. One-third of the shares subject to the option vest on each of the first, second and third anniversaries of the date of grant.
- (9) Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units, which are issued under the Chevron ESIP-RP, are payable in cash upon the reporting person's retirement or other termination of service.
- (10) Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units were issued under the Chevron LTIP and vest on January 26, 2014 and are payable in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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