STANLEY BLACK & DECKER, INC.

Form 4 April 30, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

may continue. See Instruction

obligations

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Bontrager D Brett

STANLEY BLACK & DECKER, INC. [SWK]

(Last) (First) (Middle)

1000 STANLEY DRIVE

(Street)

2. Issuer Name and Ticker or Trading

Symbol

3. Date of Earliest Transaction (Month/Day/Year)

04/28/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

Sr. VP & Group Executive, SSS 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### NEW BRITAIN, CT 06053

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	04/28/2014		M	3,750	A	\$ 49.0225	67,499	D	
Common Stock	04/28/2014		S	3,750	D	\$ 84.4008	63,749	D	
Common Stock	04/28/2014		M	5,000	A	\$ 63.715	68,749	D	
Common Stock	04/28/2014		S	5,000	D	\$ 84.4008	63,749	D	
Common Stock	04/28/2014		M	5,000	A	\$ 64.785	68,749	D	

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Common Stock	04/28/2014	S	5,000	D	\$ 84.4008	63,749	D
Common Stock	04/28/2014	S	19,617	D	\$ 84.4008	44,132	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I De Sec (In

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 49.0225	04/28/2014		M		3,750	<u>(1)</u>	12/08/2019	Common Stock	3,750
Stock Option (Right to Buy)	\$ 63.715	04/28/2014		M		5,000	(2)	12/09/2020	Common Stock	5,000
Stock Option (Right to Buy)	\$ 64.785	04/28/2014		M		5,000	(3)	12/08/2021	Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Bontrager D Brett 1000 STANLEY DRIVE NEW BRITAIN, CT 06053			Sr. VP & Group Executive, SSS				

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### **Signatures**

/s/ Bruce H. Beatt, Attorney-in-Fact 04/30/2014

\*\*Signature of Reporting Person D

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 3,750 shares are currently exercisable.
- (2) 5,000 shares are currently exercisable, 5,000 shares will become exercisable on 12/9/14.
- (3) 5,000 shares are currently exercisable, 5,000 shares will become exercisable on 12/8/14 and 5,000 shares will become exercisable on 12/8/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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