#### Edgar Filing: ALLSTATE CORP - Form 3

ALLSTATE CORP Form 3 April 25, 2016

### FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

FORTIN MARY JANE

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

04/15/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

ALLSTATE CORP [ALL]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O THE ALLSTATE CORPORATION, Â 2775 SANDERS ROAD

(Street)

(Check all applicable) Director 10% Owner

Officer \_\_X\_\_ Other (give title below) (specify below) Executive Vice President - AIC 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

NORTHBROOK, ILÂ 60062

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. Conversion

Ownership or Exercise Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Exercisable Date

Title

Amount or Number of Derivative Security

Price of

Security: Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	10/05/2019	Common Stock	58,431	\$ <u>(1)</u>	D	Â
Employee Stock Option (Right to Buy)	(2)	10/05/2025	Common Stock	16,181	\$ 59.9	D	Â
Employee Stock Option (Right to Buy)	(3)	02/11/2026	Common Stock	51,272	\$ 62.32	D	Â

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FORTIN MARY JANE C/O THE ALLSTATE CORPORATION 2775 SANDERS ROAD NORTHBROOK, ILÂ 60062

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Executive Vice President - AIC

### **Signatures**

Mary Jane Fortin 04/25/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Award of Restricted Stock Units (RSUs) granted on October 5, 2015 under The Allstate Corporation 2013 Equity Incentive Plan. Each RSU represents the right to receive, without the payment of any consideration, one share of Allstate common stock on the day following the last day of the period of restriction (the conversion date). The period of restriction began on October 5, 2015, with one quarter of the total number of RSUs converting on October 5, 2016, October 5, 2017, October 5, 2018, and October 5, 2019.
- Stock option award granted on October 5, 2015 for 16,181 shares of common stock vesting in 3 increments, with one third vesting on (2) October 5, 2016, October 5, 2017, and October 5, 2018, with any fractional shares to be rounded pursuant to reporting persons option award.
- Stock option award granted on February 11, 2016 for 51,272 shares of common stock vesting in 3 increments, with one third vesting on (3) February 11, 2017, February 11, 2018, and February 11, 2019, with any fractional shares to be rounded pursuant to reporting persons option award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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