

COOPER COMPANIES INC  
Form 4  
March 08, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lindell Jody S

(Last) (First) (Middle)

6140 STONERIDGE MALL  
ROAD, SUITE 590

(Street)

PLEASANTON, CA 94588

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
COOPER COMPANIES INC [COO]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/07/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |   |  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|--|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |   |  |
|                                 |                                      |  |                                | Code  | V   | Amount   |   |   |  |
| Common Stock                    | 03/07/2017                           |  | M                              | 5,000   | A   | \$ 13.08   | 14,833  | I | Matthews-Lindell Family Trust, dated July 23, 1991 as amended and restated |
| Common Stock                    | 03/07/2017                           |  | S                              | 4,280   | D   | \$ 193.75<br><u>(1)</u>                                  | 10,553  | I | Matthews-Lindell Family Trust, dated July 23, 1991 as amended and restated |
| Common Stock                    | 03/07/2017                           |  | S                              | 720   | D   | \$ 194.36  | 9,833   | I | Matthews-Lindell Family Trust,   |

|              | (2)   |   |  |
|--------------|-------|---|--|
| Common Stock | 2,943 | I | dated July 23, 1991 as amended and restated<br>Jody S. Lindell Retirement Trust, dated January 1, 2011 |
| Common Stock | 6,250 | I | Jody S. Lindell Seperate Property Trust  |
| Common Stock | 0     | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)        | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Date Exercisable | 9. Expiration Date | 10. Title | 11. Amount or Number of Shares |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|---------------------|--------------------|-----------|--------------------------------|
| Non-employee Director Stock Option (Right to Buy) | \$ 13.08   | 03/07/2017                           |  | M                              | 5,000   | 12/11/2009 12/10/2018                                    | Common Stock  |                     |                    |           | 5,000                          |

## Reporting Owners

| Reporting Owner Name / Address              | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Lindell Jody S<br>6140 STONERIDGE MALL ROAD | X             |           |         |       |

SUITE 590  
PLEASANTON, CA 94588

## Signatures

/s/ Jody S.  
Lindell

03/08/2017

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale price represents an average of sale prices between \$193.2000 and \$194.1950.
- (2) The sale price represents an average of sale prices between \$194.2650 and \$194.4500.

### Remarks:

The transfer of all of the above holdings was made pursuant to a Transfer Agreement dated January 7, 2009 which authorizes

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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