White Albert G III Form 4 February 05, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

if no longer

subject to

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading White Albert G III Issuer Symbol COOPER COMPANIES INC [COO] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title \_ \_\_ Other (specify 6140 STONERIDGE MALL 02/01/2018 below) RD., SUITE 590 EVP, CFO & CSO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PLEASANTON, CA 94588 Person (Ctata)

| (City)     | (State) (2          | Table Table        | I - Non-Do | erivative S               | Securi | ties Ac   | quired, Disposed | of, or Beneficia | lly Owned    |
|------------|---------------------|--------------------|------------|---------------------------|--------|-----------|------------------|------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securi                 | ties   |           | 5. Amount of     | 6. Ownership     | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio | ransactionAcquired (A) or |        |           | Securities       | Form: Direct     | Indirect     |
| (Instr. 3) |                     | any                | Code       | 1                         |        |           | Beneficially     | (D) or           | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)       |        |           | Owned            | Indirect (I)     | Ownership    |
|            |                     |                    |            |                           |        | Following | (Instr. 4)       | (Instr. 4)       |              |
|            |                     |                    |            |                           | (4)    |           | Reported         |                  |              |
|            |                     |                    |            |                           | (A)    |           | Transaction(s)   |                  |              |
|            |                     |                    | Code V     | Amount                    | (D)    | Price     | (Instr. 3 and 4) |                  |              |
| Common     | 02/01/2018          |                    | A          | 1,743                     | A      | \$ 0      | 37,874           | D                |              |
| Stock      | 02/01/2016          |                    | A          | (1)                       | A      | \$ 0      | 37,074           | D                |              |
| Common     | 02/01/2018          |                    | F          | 918 (2)                   | D      | \$ 0      | 36,956           | D                |              |
| Stock      | 02/01/2010          |                    | •          | )10 <u></u>               | ט      | ΨΟ        | 30,730           | D                |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: White Albert G III - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Title  | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|-----------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber   | Expiration D | ate         | Amount    | of          | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)       | Underly   | ing         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |             | Securitie | es          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |             | (Instr. 3 | and 4)      |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |              |             |           |             |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |             |           |             |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |             |           |             |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |             |           |             |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |             |           |             |             |        |
|             |             |                     | 4, and 5)          |            |            |              |             |           |             |             |        |
|             |             |                     |                    |            |            |              |             | Δ         | mount       |             |        |
|             |             |                     |                    |            |            |              |             |           |             |             |        |
|             |             |                     |                    |            |            | Date         | Expiration  | Title N   | ı<br>Iumber |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date        | of        |             |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |             |           | hares       |             |        |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

White Albert G III 6140 STONERIDGE MALL RD. **SUITE 590** PLEASANTON, CA 94588

EVP, CFO & CSO

### **Signatures**

/s/ Albert G. 02/01/2018 White III

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 2/1/2018 PSU Release: Shares awarded on achievement of target level of performance under Performance Share Awards granted February 2, 2015
- 2/1/2018 PSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on **(2)** 2/1/18) of \$243.61 was used.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2