Edgar Filing: IMMELT JEFFREY R - Form 4/A

| IMMELT JI Form 4/A February 21 | | | | | | | | | | | |
|--|---|---|--|--|--|-----------------|--|---|---|--|--|
| February 21, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB AP OMB Number: | PROVAL 3235-0287 | | |
| Check th if no lon subject t Section Form 4 o Form 5 obligatio may con <i>See</i> Instr 1(b). | ger o 16. or Filed purs ons stinue. Section 17(a) | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | January 31, 2005 verage s per 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | | |
| IMMELT JEFFREY R Symbol | | | mbol | Issuer Name and Ticker or Trading bol HENAHEALTH INC [ATHN] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) (First) (Middle) 3. Date (Month | | | Date of Earliest Transaction onth/Day/Year) /20/2018 | | | | (Check all applicable) <u>X</u> Director 10% Owner Officer (give title Other (specify below) | | | | |
| WATERTO | if Amendment, Date Original ed(Month/Day/Year) /21/2018 | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) (Z | Zip) | Table I - Non- | -Derivative | Secu | rities Acqu | ired, Disposed of, | or Beneficiall | y Owned | | |
| 1.Title of Security (Instr. 3) | · · · | 2A. Deemed Execution Da any (Month/Day/ | Code (Instr. 3, 4 and 5) | | | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | | Code V | / Amount | or | Price \$ | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 02/20/2018 | | Р | 576 <u>(1)</u> | А | 138.15 (2) | 678 | D | | | |
| Common Stock | 02/20/2018 | | Р | 3,024 (1) | А | \$ 138.5 (3) | 3,702 (4) | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address

(3)

Relationships

| Reporting Owner Mame / Address | | | | |
|---|----------|------------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| IMMELT JEFFREY R C/O ATHENAHEALTH, INC. 311 ARSENAL STREET WATERTOWN, MA 02472 | Х | | | |
| Signatures | | | | |
| /s/ Jessica Collins, Attorney-in-Fact | | 02/21/2018 | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form 4/A amends and restates a Form 4 filed on the Reporting Person's behalf on February 21, 2018 on which the number of shares (1) purchased was incorrectly reported. On February 20, 2018, the Reporting Person purchased a total of 3,600 shares and not 7,200 shares as erroneously reported on the Form 4 that is replaced by this Form 4/A.
- Represents a weighted average price. These shares were purchased by the Reporting Person in multiple transactions at prices ranging (2)from \$138.10 to \$138.165, inclusive.

Represents a weighted average price. These shares were purchased by the Reporting Person in multiple transactions at prices ranging from \$138.17 to \$139.17, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the

- staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in Footnotes (2) and (3).
- Includes 102 units of common stock that were granted pursuant to a restricted stock unit ("RSU") award under the athenahealth, Inc. 2007 (4) Stock Option and Incentive Plan, as amended and restated. The RSUs fully vest on March 1, 2018 and will be settled only in stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.