#### SIGA TECHNOLOGIES INC

Form 4 May 16, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* KINDLER JEFFREY B

2. Issuer Name and Ticker or Trading

Symbol

SIGA TECHNOLOGIES INC [SIGA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

05/15/2014

Officer (give title below)

10% Owner Other (specify

C/O SIGA TECHNOLOGIES. INC., 660 MADISON AVENUE, **SUITE 1700** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10065

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. Code (Instr. 8)

M

Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)

Price

\$0

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following

Reported

15,000

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Transaction(s)

Beneficial Ownership (Instr. 4)

Common Stock, Par

Value 05/15/2014 Code V Amount (D)

15,000 A

(Instr. 3 and 4)

D

\$.0001 Per Share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

### Edgar Filing: SIGA TECHNOLOGIES INC - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Units	(1)	05/15/2014		A	15,000		(2)	(2)	Common Stock, par value \$.0001 per share	15,000
Restricted Stock Units	<u>(1)</u>	05/15/2014		M		15,000	<u>(2)</u>	(2)	Common Stock, par value \$.0001 per share	15,000

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

KINDLER JEFFREY B

C/O SIGA TECHNOLOGIES, INC.
660 MADISON AVENUE, SUITE 1700

NEW YORK, NY 10065

### **Signatures**

/s/ Jeffrey B.
Kindler

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") converts into one share of common stock of SIGA Technologies, Inc. on a one for one basis.
- (2) The RSUs vest on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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