TrueCar, Inc. Form 3 November 07, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TrueCar, Inc. [TRUE] Gunsagar Neeraj (Month/Day/Year) 10/27/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TRUECAR, INC., 120 (Check all applicable) **BROADWAY, SUITE 200** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, Chief Marketing Officer Person **SANTA** Form filed by More than One MONICA. CAÂ 90401 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 357,156 <sup>(1)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--------------------------------------------	----------------------------------------------------------	--------------------------------------------------------------------------------------	--------------------------------------------------------	---------------------------------------------------------------------	-------------------------------------------------------------

Edgar Filing: TrueCar, Inc. - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(2)	02/14/2022	Common Stock	16,666	\$ 11.51	D	Â
Employee Stock Option (right to buy)	(3)	08/31/2022	Common Stock	9,999	\$ 8	D	Â
Employee Stock Option (right to buy)	(4)	02/22/2023	Common Stock	11,599	\$ 7.92	D	Â
Employee Stock Option (right to buy)	(5)	05/02/2023	Common Stock	2,796	\$ 7.92	D	Â
Employee Stock Option (right to buy)	(6)	06/06/2023	Common Stock	37,203	\$ 7.92	D	Â
Employee Stock Option (right to buy)	(7)	11/21/2023	Common Stock	116,666	\$ 8.9	D	Â
Employee Stock Option (right to buy)	(8)	02/28/2024	Common Stock	609	\$ 9.26	D	Â
Employee Stock Option (right to buy)	(9)	05/15/2024	Common Stock	78,182	\$ 12.81	D	Â
Employee Stock Option (right to buy)	(10)	10/06/2024	Common Stock	150,000	\$ 20.85	D	Â
Employee Stock Option (right to buy)	(11)	04/23/2025	Common Stock	75,000	\$ 15.71	D	Â
Employee Stock Option (right to buy)	(12)	08/11/2026	Common Stock	500,000	\$ 10.85	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gunsagar Neeraj C/O TRUECAR, INC. 120 BROADWAY, SUITE 200 SANTA MONICA, CA 90401	Â	Â	EVP, Chief Marketing Officer	Â		

## **Signatures**

/s/ Scott Watkinson, by Power of Attorney 11/07/2016

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Reporting Owners 2

### Edgar Filing: TrueCar, Inc. - Form 3

- (1) Includes 342,158 shares represented by restricted stock units.
- (2) Shares subject to the option are fully vested and immediately exercisable.
- (3) Shares subject to the option are fully vested and immediately exercisable.
- (4) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 22, 2013.
- (5) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on June 2, 2013.
  - An option to purchase 47,203 shares was granted on June 6, 2013 and was exercised in part prior to the date on which the Reporting
- (6) Person became subject to Section 16. Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on June 22, 2013.
- (7) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on December 11, 2013.
- (8) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 27, 2014.
- (9) Shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 1, 2015.
- (10) One tenth (1/10) of the shares subject to the option were vested on October 6, 2014. The remaining shares subject to the option vest in forty-eight (48) equal monthly installments beginning on November 6, 2014.
- One-twelfth (1/12) of the shares subject to the option were vested on May 1, 2015. The remaining shares subject to the option vest in forty-four (44) equal monthly installments beginning June 1, 2015.
- (12) 10,416 shares subject to the option were vested on August 11, 2016. The remaining shares subject to the option vest in forty-seven (47) equal monthly installments beginning on August 24, 2016.

Â

#### **Remarks:**

### Ex. 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.