

Clarke Tod A
Form 3
August 16, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Clarke Tod A | | (Month/Day/Year) | ABRAXAS PETROLEUM CORP [AXAS] | |
| (Last) | (First) | (Middle) | 08/16/2017 | |
| 18803 MEISNER DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| SAN ANTONIO,Â TXÂ 78258 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Vice President - Land | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|-------------------------------------|---|--|---|
| Abraxas Petroleum Corp Common Stock | 94,079 | D | Â |
| Abraxas Petroleum Corp Common Stock | 23,680 | I | 401-K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------|------------------|-----------------|-------------------------------------|----------------------------|----------|---------------------------------------|---|
| Employee Stock Option | 03/11/2018 | 03/11/2024 | Abraxas Petroleum Corp Common Stock | 18,000 | \$ 3.15 | D | Â |
| Employee Stock Option | 05/15/2020 | 05/15/2026 | Abraxas Petroleum Corp Common Stock | 64,000 | \$ 0.97 | D | Â |
| Employee Stock Option | 03/08/2016 | 03/08/2022 | Abraxas Petroleum Corp Common Stock | 5,000 | \$ 3.74 | D | Â |
| Employee Stock Option | 03/15/2015 | 03/11/2021 | Abraxas Petroleum Corp Common Stock | 10,000 | \$ 4.72 | D | Â |
| Employee Stock Option | 03/16/2014 | 03/16/2020 | Abraxas Petroleum Corp Common Stock | 13,300 | \$ 2.09 | D | Â |
| Employee Stock Option | 03/17/2013 | 03/17/2019 | Abraxas Petroleum Corp Common Stock | 5,000 | \$ 0.99 | D | Â |
| Employee Stock Option | 05/14/2017 | 05/14/2027 | Abraxas Petroleum Corp Common Stock | 17,300 | \$ 2.39 | D | Â |
| Employee Stock Option | 08/28/2011 | 08/28/2017 | Abraxas Petroleum Corp Common Stock | 7,810 | \$ 3.6 | D | Â |
| Employee Stock Option | 08/09/2015 | 08/09/2021 | Abraxas Petroleum Corp | 25,000 | \$ 3.55 | D | Â |

| | | | | | | | |
|-----------------------|------------|------------|------------------------------|--------|---------|---|---|
| | | | Common Stock | | | | |
| | | | Abraxas Petroleum Corp | 20,775 | \$ 1.75 | D | Â |
| Employee Stock Option | 10/05/2013 | 10/05/2019 | Common Stock | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Clarke Tod A 18803 MEISNER DRIVE SAN ANTONIO, TX 78258 | Â | Â | Â Vice President - Land | Â |

Signatures

Tod A. Clarke 08/16/2017

__Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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