Linthwaite Stephen Christopher

Form 4 February 21, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * Linthwaite Stephen Christopher

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

President & CEO

Issuer

(First)

(Middle)

FLUIDIGM CORP [FLDM] 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

02/19/2019

Director X_ Officer (give title

below)

Person

Securities

Following

Reported

Owned

Beneficially

10% Owner Other (specify

C/O FLUIDIGM CORPORATION, 7000

SHORELINE COURT, SUITE 100

(Street)

(State)

02/20/2019

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

SOUTH SAN FRANCISCO, CA 94080

(City)

Common

Stock

Stock

1. Title of 2. Transaction Date 2A. Deemed

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(A) or Code V Amount Price (D)

Transaction(s) (Instr. 3 and 4)

4,750 A \$0 148,290 (1) D M

Common \$0 02/20/2019 M 7.812 A 156,102 D

Common 02/20/2019 Stock

F 4,644 151,458

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Units	<u>(2)</u>	02/19/2019		A	110,112		(3)	(3)	Common Stock	110,11
Restricted Stock Units	<u>(2)</u>	02/20/2019		M		4,750	<u>(4)</u>	<u>(4)</u>	Common Stock	4,750
Restricted Stock Units	<u>(2)</u>	02/20/2019		M		7,812	<u>(5)</u>	<u>(5)</u>	Common Stock	7,812

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Linthwaite Stephen Christopher C/O FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080

President & CEO

Signatures

/s/ Stephen Christopher Linthwaite by Nicholas Khadder, Attorney-in-Fact

02/21/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,235 shares purchased on November 30, 2018 under the Company's 2017 Employee Stock Purchase Plan.
- (2) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.
- (3) 12/48th of the total number of shares underlying the Restricted Stock Units granted will vest on February 20, 2020, and 3/48th of the total number of shares underlying the Restricted Stock Units granted will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest

Reporting Owners 2

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date.

- On February 13, 2017, the Reporting Person was granted 76,000 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted have vested and will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.
- On June 11, 2018, the Reporting Person was granted 125,000 Restricted Stock Units of which 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on August 20, 2018, and 3/48th of the total number of shares underlying the Restricted Stock Units granted have vested and will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.