

Edgar Filing: MOSLE JON L JR - Form 4

MOSLE JON L JR  
Form 4  
October 29, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Mosle, Jon L., Jr.

-----  
(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

-----  
(Street)

Dallas, Texas 75201

-----  
(City)

(State)

(Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

10/28/02

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

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7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person

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[ ] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

6.	5.	Owner-ship	7.	3.	4.
Securities	Amount of	ship	Form:	Transaction	Securities Acquired (A)
Beneficially	Owned at End	Direct	Nature of	(Instr. 8)	Disposed of (D)
Owned at End	(D) or	Indirect	2.	Code	(Instr. 3, 4 and 5)
1.	of Month	Indirect	Beneficial	Transaction	(A)
Title of Security	Price	(Instr. 3)	(I)	Ownership	Date
(Instr. 3)	(Instr. 3)	(Instr. 4)	(Instr. 4)	(mm/dd/yy)	Code
and 4)	(Instr. 4)	(Instr. 4)	(Instr. 4)	(mm/dd/yy)	V
					Amount
					or
					(D)

Common Stock				10/28/02	P	10,000	A
\$13.55	35,000		D				

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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10.

9. Owner-

Number ship

of Form

Deriv- of 2.

ative Deriv- 11. Conver-

Amount Secur- or 5. Number of 7. Title and

Underlying 8. ities Secur- of 6. of

Price Bene- ity: In- Nature Derivative Date Securities

and 4) of ficially Direct direct Acquired (A) Exercisable and (Instr. 3

----- Deriv- Owned (D) or Bene- of(D) (Month/Day/Year) -----

1. Amount ative at End In- ficial (Instr. 3, -----

Title of Secur- of direct Owner- (Instr. 3, -----

Derivative active (Month/ 8) 4 and 5) Date Expira-

Number ity Month (I) ship ----- Exer- tion

of (Instr. Secur- Day/ ----- cisable Date Title

(Instr. 3) ity (Instr. (Instr. (Instr. (A) (D) -----

Shares 5) 4) 4) 4) -----

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Explanation of Responses:

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/s/ William R. Hardcastle, Jr.

10/29/02

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\*\*Signature of Reporting Person  
as Attorney-in-fact

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2