Edgar Filing: WESTWOOD HOLDINGS GROUP INC - Form 4

WESTWOOD HOLDINGS GROUP INC

Form 4

September 26, 2013

FORI	M /I								OMB.	APPROVAL	
ı Onı	UNITED	STATES						OMMISSION	CIVID	3235-028	
Check	this box		W	ashingt	ton, D.C.	2054	19		Number:		
if no longer				TAL DESI	,				January 31 200		
subject Section	subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per response			
	ions Tried pu	(a) of the	Public 1	Utility I	Holding C	Comp		e Act of 1934, 1935 or Section			
(Print or Typ	e Responses)										
1. Name and Address of Reporting Person ** BYRNE SUSAN M			2. Issuer Name and Ticker or Trading Symbol WESTWOOD HOLDINGS GROUP					5. Relationship of Reporting Person(s) to Issuer			
	INC [TIOLD	1105	GROOI	(Check all applicable)					
(Last)	(First)	(Month/Day/Year) —— O						e title X_ C	0% Owner Other (specify		
200 CRES 1200	SCENT COURT,	SUITE	09/24/	/2013				below) Chair	below) man of the Bo	oard	
				. If Amendment, Date Original iled(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
DALLAS	, TX 75201							Person	More than One	Reporting	
(City)	(State)	(Zip)	Ta	ble I - No	on-Derivat	ive Se	curities Acq	uired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ed 3. 4. Securities Acquired (A) Date, if Transactior Disposed of (D) Code (Instr. 3, 4 and 5) ny/Year) (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	V Amoun	(A) or t (D)		Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)		
common stock	09/24/2013			S	1,100 (1)	D	\$ 49.8582 (2)	358,789	D		
common stock								40,000	I	Family Foundation	
common stock								12,576	I	By spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

Edgar Filing: WESTWOOD HOLDINGS GROUP INC - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amaunt		
						Date	Expiration				
						Exercisable Date	Date				
				Code V	(A) (D)						
				Code V	4, and 5)		•	Title	Amount or Number of Shares		

Relationships

Reporting Owners

Reporting Owner Name / Address	iciui viisii p							
	Director	10% Owner	Officer	Other				
BYRNE SUSAN M 200 CRESCENT COURT SUITE 1200 DALLAS, TX 75201	X			Chairman of the Board				

Signatures

William R. Hardcastle, Jr. as attorney-in-fact 09/26/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person and her spouse on July 30, 2013.
- Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transactions reported was \$49.85 to

 (2) \$49.88 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2