### Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form 3

### GUGGENHEIM ENHANCED EQUITY STRATEGY FUND

Form 3 June 10, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person <u>*</u> Yan Qi	ddress of Re	eporting	2. Date of Event Requiring Statement (Month/Day/Year) 06/10/2016	3. Issuer Name and Ticker or Trading Symbol GUGGENHEIM ENHANCED EQUITY STRATEGY FUND [GGE]						
(Last)	(First)	(Middle)	00/10/2010	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origina Filed(Month/Day/Year)			
100 WILSH	IRE BLV	D					(··)			
	(Street)			(Check all applicable)		6. Individual or Joint/Group				
SANTA MONICA, CA 90401			Director 10% Officer X Othe (give title below) (specify below) Portfolio Manager				Filing(Check Applicable Line) _X_Form filed by One Reporting PersonForm filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securit	ies Beneficially Owned				
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial ership :. 5)			
Common Sto	ock - Initi	al Filing	0		D	Â				
Reminder: Repo	_		each class of securities benefic	ially S	EC 1473 (7-02	2)				
	infor requ	mation con ired to resp	spond to the collection of tained in this form are not ond unless the form displ DMB control number.	t						

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security: Security Direct (D) or Indirect		

Shares

(I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Yan Qi

100 WILSHIRE BLVD SANTA MONICA, CAÂ 90401 Â Portfolio Manager

**Signatures** 

/s/ Qi Yan, by Mark E. Mathiasen Pursuant to a Power of Attorney

06/10/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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