SMITH MARK L Form 3 February 08, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SMITH MARK L

(Last) (First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

02/08/2011

Gevo, Inc. [GEVO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GEVO, INC.,345 **INVERNESS DRIVE** 

S., BUILDING C, SUITE 310

(Street)

10% Owner Director

(Check all applicable)

\_X\_\_ Officer Other (give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person Table I - Non-Derivative Securities Beneficially Owned

ENGLEWOOD, Â COÂ 80112

(City) (State)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

(Instr. 5)

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D) or Indirect (I)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5 Ownership Conversion or Exercise Form of Derivative Price of

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Exercisable

Expiration Date

Title Amount or Number of Derivative Security: Security Direct (D)

### Edgar Filing: SMITH MARK L - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	(1)	12/04/2018	Common Stock	125,000	\$ 1.16	D	Â
Non-Qualified Stock Option (right to buy)	(2)	11/16/2019	Common Stock	15,000	\$ 2.7	D	Â
Non-Qualified Stock Option (right to buy)	(3)	06/03/2020	Common Stock	19,500	\$ 10.07	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
SMITH MARK L C/O GEVO, INC.,345 INVERNESS DRIVE S. BUILDING C, SUITE 310 ENGLEWOOD, CO 80112	Â	Â	Chief Financial Officer	Â			

# **Signatures**

/s/ Brett Lund, Attorney-in-Fact 02/08/2011

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 4, 2008 and is exercisable as the option vests. This option vests over four years commencing on November 5, 2008, with 25% of the shares subject to the option vesting on November 5, 2009 and the remaining shares subject to the option vesting in equal monthly installments thereafter.
- This option was granted on November 16, 2009 and is exercisable as the option vests. This option vests over four years commencing on (2) November 5, 2008, with 25% of the shares subject to the option vesting on November 5, 2009 and the remaining shares subject to the option vesting in equal monthly installments thereafter.
- This option was granted on June 3, 2010 and is exercisable as the option vests. This option vests over four years commencing on November 5, 2008, with 25% of the shares subject to the option vesting on November 5, 2009 and the remaining shares subject to the option vesting in equal monthly installments thereafter.

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### **Remarks:**

Exhibit 24.1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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