

CONNELL HOPE HOLDING  
Form 4  
June 20, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CONNELL HOPE HOLDING

2. Issuer Name **and** Ticker or Trading  
Symbol  
FIRST CITIZENS BANCSHARES  
INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
PO BOX 29550

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/19/2012

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) Vice Chairman

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

RALEIGH, NC 27602

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	06/19/2012		G V	19,429 (4)	A \$ 0 121,256	D	
Class A Common Stock	06/19/2012		G V	19,429 (4)	D \$ 0 0	I	By 2010 GRAT
Class A Common Stock					5,320	I	By Maggie B. Holding Trust
Class A Common					1,280	I (3)	As Trustee for John H.

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Stock			Connell Irreovcable Trust
Class A Common Stock	407	I <u>(3)</u>	As Trustee for Michael Collier Connell Trust
Class A Common Stock	891	I <u>(1)</u>	As Custodian for Elliot
Class A Common Stock	330	I <u>(1)</u>	As custodian for John Patrick
Class A Common Stock	1,390	I <u>(1)</u>	John Connell as Custodian for Hewlette
Class A Common Stock	1,290	I <u>(1)</u>	John Connell as Custodian for John Patrick
Class A Common Stock	18,145	I <u>(2)</u>	By Yadkin Valley Company
Class A Common Stock	700	I <u>(2)</u>	By Yadkin Valley Life Insurance Company
Class B Common Stock	100,660	D	
Class B Common Stock	1,225	I	By Maggie B. Holding Trust
Class B Common Stock	309	I <u>(3)</u>	As Trustee for the Michael Collier Connell Trust
Class B Common Stock	7,833	I <u>(1)</u>	As custodian for Hewlette

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Class B Common Stock	6,583	I <u>(1)</u>	As custodian for John Patrick
Class B Common Stock	6,782	I <u>(1)</u>	As custodian for Elliot
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for Hewlette
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for John Patrick
Class B Common Stock	100	I <u>(1)</u>	John Connell as custodian for Elliot
Class B Common Stock	1,725	I <u>(2)</u>	By Yadkin Valley Company
Class B Common Stock	175	I <u>(2)</u>	By Yadkin Valley Life Insurance Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or

Number  
of  
Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CONNELL HOPE HOLDING PO BOX 29550 RALEIGH, NC 27602	X		Vice Chairman	

## Signatures

Hope Holding Connell, By: William R. Lathan, Jr.,  
Attorney-in-fact

06/20/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the
- (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
  - (2) The reporting person is a director, officer and/or principal shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein.
  - (3) The reporting person serves as trustee of the trust for the benefit of individuals who are not members of her immediate family, and her children have a remainder interest in the trust. The reporting person disclaims beneficial ownership of the securities held by the trust.
  - (4) Reflects distribution from a grantor retained annuity trust upon termination of the trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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