Edgar Filing: ORGANOVO HOLDINGS, INC. - Form 4

ORGANOV Form 4 August 30, 2	O HOLDINGS, 013	INC.									
FORM	14 UNITED	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB AF OMB Number:	PPROVAL 3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed pu inue.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Murphy Keith			2. Issuer Name and Ticker or Trading Symbol ORGANOVO HOLDINGS, INC. [ONVO]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				f Earliest Transaction Day/Year) 013				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) Dther (specify below) Chairman, CEO and President			
	Filed(Mor				te Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
	O, CA 92121							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Se	ecuritie	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	08/28/2013			Code V A	Amount 100,000	or (D) A	Price (<u>1)</u>	(Instr. 3 and 4) 6,556,402	D		
Common Stock	08/28/2013			F	54,654 (2)	D	\$ 5.6	6,501,748	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) (3)	\$ 5.6	08/28/2013		А	54,654	08/28/2013	08/28/2023	Common Stock	54,654

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Murphy Keith 6275 NANCY RIDGE DRIVE, SUITE 110 SAN DIEGO, CA 92121	Х		Chairman, CEO and President				
Signatures							
/s/ Keith							

/s/ Keith 08/30/2013 Murphy 08/30/2013 <u>**</u>Signature of Date Date Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In August 2012, Mr. Murphy received a grant of performance-based restricted stock units for 200,000 shares of the Issuer's Common Stock, with 25% of the shares vesting upon the achievement of each of four specific performance milestones prior to December 31, 2014.

- (1) On August 28, 2013, the Compensation Committee of the Issuer's Board of Directors determined that, as a result of the Issuer (i) qualifying to list its shares of Common Stock on the NYSE MKT and (ii) completing an equity financing in August 2013, Mr. Murphy had achieved two of the four performance milestones and had vested in 100,000 shares.
- (2) The shares were withheld at the election of the Reporting Person to satisfy tax withholding obligations in connection with the partial vesting of performace-based restricted stock units granted in August 2012.
- (3) The option was automatically issued pursuant to the terms of the Reporting Person's Equity Incentive Award Agreement issued in August 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.