

ELECTRONICS FOR IMAGING INC

Form 4

September 10, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
MAYDAN DAN

2. Issuer Name and Ticker or Trading
Symbol
ELECTRONICS FOR IMAGING
INC [EFII]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

303 VELOCITY WAY

(Street)

FOSTER CITY, CA 94404

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
09/06/2013

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/06/2013		M	1,500	A	11,060	D
Common Stock	09/06/2013		M	6,250 (2)	A	\$ 16.57 17,310	D
Common Stock	09/06/2013		S	7,000	D	\$ 29.3831 10,310 (3)	D
Common Stock	09/09/2013		M	625 (4)	A	\$ 14.28 10,935	D
Common Stock	09/09/2013		S	625	D	\$ 29.91 10,310	D

Edgar Filing: ELECTRONICS FOR IMAGING INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(5)</u>	09/06/2013		M	1,500	<u>(6)</u>	09/06/2016	Common Stock	1,500
Stock Option Right to Buy	\$ 16.57	09/06/2013		M	6,250	<u>(7)</u>	09/06/2019	Common Stock	6,259
Stock Option Right to Buy	\$ 14.28	09/09/2013		M	625	<u>(8)</u>	11/09/2018	Common Stock	625

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MAYDAN DAN 303 VELOCITY WAY FOSTER CITY, CA 94404	X			

Signatures

/s/ Dan Maydan
09/10/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Upon vesting, each restricted stock unit was converted into one share of Electronics For Imaging, Inc. common stock.

(2) These shares of common stock were issued upon exercise of stock options granted to the reporting person on September 6, 2012.

This transaction was executed in multiple trades ranging from \$29.00 to \$29.73. The price reported above reflects the weighted average

(3) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.

(4) These shares of common stock were issued upon exercise of stock options granted to the reporting person on November 9, 2011.

(5) Each restricted stock unit represents a contingent right to receive one share of Electronics For Imaging, Inc. common stock.

(6) This restricted stock unit award was granted on September 6, 2012 and vests with respect to 25% of the shares on each of the first, second, third and fourth anniversaries of the date of grant.

This stock option award was granted on September 6, 2012 and became exercisable with respect to 25% of the award on the first

(7) anniversary of the date of grant and thereafter is scheduled with respect to an additional 2.5% of the award each month, with full vesting in 42 months.

This stock option award was granted on November 9, 2011 and became exercisable with respect to 25% of the award on the first

(8) anniversary of the date of grant and thereafter is scheduled with respect to an additional 2.5% of the award each month, with full vesting in 42 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.