Edgar Filing: Nuveen Floating Rate	Income Opportunity Fund - Form 3
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Nuveen Floating Rate Income Opportunity Fund Form 3 January 06, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Person * Statement			3. Issuer Name and Ticker or Trading Symbol Nuveen Floating Rate Income Opportunity Fund [JRO]				
CITIGROUP INC (Last) (First) (Middle)	(Month/Day/Year) 12/30/2013	4. Relationship Person(s) to Is			nendment, Date Original onth/Day/Year)		
399 PARK AVENUE (Street) NEW YORK, NY 10022		(Check Director Officer (give title below	all applicable) X10% Other (specify below)	Owner Filing(C _X_For w) Person For	idual or Joint/Group Theck Applicable Line) m filed by One Reporting n filed by More than One g Person		
(City) (State) (Zip)	Table	Table I - Non-Derivative Securities Beneficially Owned					
1.Title of Security (Instr. 4)		nount of Securities ficially Owned . 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of In Ownership (Instr. 5)	direct Beneficial		
Variable Rate Term Preferred Sl	nares 980		Ι	By Subsidia	ry <u>(1)</u>		
Reminder: Report on a separate line for owned directly or indirectly.	each class of securities b	peneficially SI	EC 1473 (7-02	)			
information cor required to res	espond to the collect ntained in this form a bond unless the form OMB control number curities Beneficially Ow	re not i displays a	warrants, opt	ions, convertib	le securities)		
,		3. Title and Amount of Securities Underlying	4. Conversio	5. on Ownership	6. Nature of Indirect Beneficial Ownership		

**Derivative Security** 

Amount or

Number of

(Instr. 4)

Expiration Title

or Exercise

Price of Derivative

Security

Form of

Derivative

Security:

Direct (D)

or Indirect

(Instr. 5)

(Month/Day/Year)

Exercisable Date

Date

OMB AF	PROVAL					
OMB Number:	3235-0104					
Expires:	January 31, 2005					
Estimated average burden hours per						
response	. 0.5					

Shares

(I) (Instr. 5)

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships			
1.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0	Director	10% Owner	Officer	Other
CITIGROUP INC 399 PARK AVENUE NEW YORK, NY 10022	Â	ÂX	Â	Â
Signatures				
Citigroup Inc., By: /s/ Ali L. Ka Secretary	01/06/2014			
<u>**</u> Signature of Reportin	g Person			Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are beneficially owned by CHARTA, LLC (CHARTA), a partially supported multi-seller asset-backed commercial paper
  (1) conduit sponsored by Citibank, N.A. (Citibank). Citicorp is the sole stockholder of Citibank. Citigroup Inc. is the sole stockholder of Citicorp.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.