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PORTOLA PHARMACEUTICALS INC Form 8-K May 05, 2016

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 5, 2016

Portola Pharmaceuticals, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

001-35935 (Commission **20-0216859** (IRS Employer

of incorporation)

File Number)

**Identification No.)** 

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## 270 E. Grand Avenue

South San Francisco, California 94080 (Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (650) 246-7300

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 2.02 Results of Operations and Financial Condition

On May 5, 2016, Portola Pharmaceuticals, Inc. issued a press release announcing its financial results for the quarter ended March 31, 2016. The full text of the press release issued in connection with the announcement is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

The information in this Form 8-K and the Exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such a filing.

#### **Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

#### Number

## **Description of Document**

Press release entitled Portola Pharmaceuticals Reports First Quarter 2016 Financial Results and Provides Corporate Update dated May 5, 2016.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: May 5, 2016

## Portola Pharmaceuticals, Inc.

By: /s/ Mardi C. Dier

Mardi C. Dier

Executive Vice President and Chief Financial Officer

## **EXHIBIT INDEX**

## Number

# **Description of Document**

Press release entitled Press release entitled Portola Pharmaceuticals Reports First Quarter 2016 Financial Results and Provides Corporate Update dated May 5, 2016.