

ARADIGM CORP  
Form 8-K  
April 10, 2017

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 OR 15(d)**

**of The Securities Exchange Act of 1934**

**Date of report (Date of earliest event reported): April 4, 2017**

**Aradigm Corporation**

**(Exact name of registrant as specified in its charter)**

**California**  
**(State or other jurisdiction**

**of incorporation)**

**001-36480**  
**(Commission**

**File Number)**

**94-3133088**  
**(I.R.S. Employer**

**Identification No.)**

**3929 Point Eden Way, Hayward, California**

**94545**

**(Address of principal executive offices)**

**(Zip Code)**

**Registrant's telephone number, including area code: (510) 265-9000**

**Not Applicable**

**(Former name or former address, if changed since last report.)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.**

On April 4, 2017, Aradigm Corporation (the Company) received a notice from The NASDAQ Capital Market (NASDAQ) that the Company is not in compliance with NASDAQ's Listing Rule 5550(b)(1), as it has not maintained a minimum of \$2,500,000 in its shareholders' equity. The notification of noncompliance has no immediate effect on the listing or trading of the Company's common stock on NASDAQ under the symbol ARDM.

Pursuant to the NASDAQ Listing Rules, the Company has 45 days, or until May 19, 2017, to submit a plan to regain compliance with the minimum shareholders' equity requirement. The Company intends to submit a plan to regain compliance.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ARADIGM CORPORATION**

Dated: April 10, 2017

By: /s/ Nancy Pecota

Name: Nancy Pecota

Title: Vice President, Finance and Chief Financial  
Officer and Corporate Secretary