Edgar Filing: ARADIGM CORP - Form 8-K

ARADIGM CORP Form 8-K April 23, 2019

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 23, 2019

Aradigm Corporation

(Exact name of registrant as specified in its charter)

California (State or other jurisdiction

001-36480 (Commission

94-3133088 (IRS Employer

of incorporation)

File Number)

Identification No.)

3929 Point Eden Way, Hayward, California

94545

Edgar Filing: ARADIGM CORP - Form 8-K

(Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (510) 265-9000

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01 Other Events

Aradigm Corporation (the Company) is the debtor in possession in a Chapter 11 bankruptcy case pending in the United States Bankruptcy Court for the Northern District of California, Case no. 19-40363. In light of the Company s financial condition, the Company will not be filing a Form 10-K for the year ended December 31, 2018 and will not be filing any future Form 10Q s. The Company has and will be filing selected financial information with the bankruptcy court and such information can be obtained from the bankruptcy court.

SIGNATURES

Dated: April 23, 2019

Pursuant to the requirement of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ARADIGM CORPORATION

Name: John Siebert

By: /s/ John Siebert

Title: Acting Principal Executive Officer