

SMITH HUDSON D  
Form 4  
January 21, 2011

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SMITH HUDSON D

(Last) (First) (Middle)

SIFCO INDUSTRIES INC, 970  
EAST 64TH STREET

(Street)

CLEVELAND, OH 44103

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SIFCO INDUSTRIES INC [sif]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/20/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)     | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|-------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                     |                                      |  | Code                           | V Amount (D) Price  |   |  |   |
| SIFCO Industries, Inc. Common Stock | 01/20/2011                           |  | A                              | 1,780<br>(1)  | A \$ 0 1,780  | D  |   |
| Voting Trust Certificates (2)       |                                      |  |                                |   | 75,593  | D  |   |
| Voting Trust Certificates (2)       |                                      |  |                                |   | 30,600  | I  | Trustee for child                                     |

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|   |         |   |                             |
|---|---------|---|-----------------------------|
| Voting Trust<br>Certificates<br>(2)             | 57,068  | I | Beneficiary<br>of trust (3) |
| Voting Trust<br>Certificates<br>(2)             | 121,678 | I | Beneficiary<br>of Trust (4) |
| Voting Trust<br>Certificates<br>(2)             | 6,255   | I | Owned by<br>wife            |
| SIFCO<br>Industries,<br>Inc.<br>Common<br>Stock | 1,400   | I | Owned by<br>wife            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Benef<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
|   |  |   |   |                                      |  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                      |
|   |  |   |   |                                      |  | Code   | V   | (A)   | (D)   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| SMITH HUDSON D<br>SIFCO INDUSTRIES INC<br>970 EAST 64TH STREET<br>CLEVELAND, OH 44103 | X             |           |         |       |

## Signatures

Remigijus Belzinskas, by power of  
attorney

01/21/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded 1,780 restricted shares of SIFCO Industries, Inc. Common Stock. Restricted shares vest and the restrictions lapse on the day immediately preceding the one year anniversary of the date of this award.
- (2) Voting Trust Certificates are for Common Shares of listed Company.
- (3) Held in Trust by the Trustee of the Charles H. Smith, Jr. Irrevocable Trust.
- (4) Held in Trust by the Trustee of the R. Smith Irrevocable Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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