

PEABODY ENERGY CORP

Form 4

May 03, 2006

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
NAVARRE RICHARD A

(Last) (First) (Middle)

701 MARKET STREET

(Street)

ST. LOUIS, MO 63101-1826

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

PEABODY ENERGY CORP [BTU]

3. Date of Earliest Transaction  
(Month/Day/Year)

05/01/2006

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

EVP and CFO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount | (A) or (D) | Price   |  |   |
| Common Stock                    | 05/01/2006                           |  | M                              |   | 3,395  | A          | \$ 10.4875  | 85,111   | D <u>(1)</u>  |
| Common Stock                    | 05/01/2006                           |  | M                              |   | 6,819  | A          | \$ 12.2225  | 91,930   | D <u>(1)</u>  |
| Common Stock                    | 05/01/2006                           |  | M                              |   | 12,935 | A          | \$ 19.3275  | 104,865  | D <u>(1)</u>  |
| Common Stock                    | 05/01/2006                           |  | M                              |   | 3,601  | A          | \$ 23.725   | 108,466  | D <u>(1)</u>  |
| Common Stock                    | 05/01/2006                           |  | S <u>(2)</u>                   |   | 26,750 | D          | \$ 66   | 81,716   | I <u>(1)</u> By Trust                                 |

# Edgar Filing: PEABODY ENERGY CORP - Form 4

Common  
Stock

7,501 <sup>(3)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                     |
|---|---|---|---|---|--|--|-----|---|--------------------|-----------------|-------------------------------------|
|   |   |   |   | Code                                    | V  | (A)  | (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy) <sup>(4)</sup> | \$ 10.4875  | 05/01/2006                              |   | M                                       |  | 3,395  |     | 01/02/2006  | 01/02/2014         | Common<br>Stock | 3,395                               |
| Employee<br>Stock<br>Option<br>(right to<br>buy) <sup>(4)</sup> | \$ 12.2225  | 05/01/2006                              |   | M                                       |  | 6,819  |     | 06/15/2005  | 06/15/2014         | Common<br>Stock | 6,819                               |
| Employee<br>Stock<br>Option<br>(right to<br>buy) <sup>(4)</sup> | \$ 19.3275  | 05/01/2006                              |   | M                                       |  | 12,935   |     | 01/03/2006  | 01/03/2015         | Common<br>Stock | 12,935                              |
| Employee<br>Stock<br>Option<br>(right to<br>buy) <sup>(4)</sup> | \$ 23.725   | 05/01/2006                              |   | M                                       |  | 3,601  |     | 04/01/2006  | 04/01/2015         | Common<br>Stock | 3,601                               |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director    10% Owner    Officer    Other

NAVARRE RICHARD A  
701 MARKET STREET  
ST. LOUIS, MO 63101-1826

EVP and CFO

## Signatures

Richard A. Navarre By: Joseph W. Bean,  
Attorney-in-Fact

05/03/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to the Rule 10b5-1 trading plan referred to in footnote 2, upon exercise of the options the shares are immediately transferred to a family trust.
- (2) This sale was effected pursuant to a pre-existing Rule 10b5-1 trading plan adopted by the reporting person.
- (3) Shares held pursuant to the Company's Employee Stock Purchase Plan.
- (4) The number of outstanding stock options and exercise prices have been adjusted to reflect the 2-for-1 stock split effected by the Company in February 2006.
- (5) Not applicable.
- (6) The numbers reported in this Column 9 of Table II do not include an additional 477,786 options with different expiration dates and exercise prices.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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