

PEABODY ENERGY CORP

Form 4

August 09, 2006

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
ENGELHARDT IRL F

(Last) (First) (Middle)

701 MARKET STREET

(Street)

ST. LOUIS, MO 63101-1826

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

PEABODY ENERGY CORP [BTU]

3. Date of Earliest Transaction
(Month/Day/Year)

08/08/2006

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/08/2006		M	V Amount (A) or (D) Price 17,254 A \$ 7.2975	559,490	D ⁽¹⁾	
Common Stock	08/08/2006		M	32,746 A \$ 7.2975	592,236	D ⁽¹⁾	
Common Stock	08/08/2006		S ⁽²⁾	10,000 D \$ 48	582,236	I ⁽¹⁾	By Trust
Common Stock	08/08/2006		S ⁽²⁾	8,500 D \$ 48.11	573,736	I ⁽¹⁾	By Trust
Common Stock	08/08/2006		S ⁽²⁾	9,900 D \$ 48.2	563,836	I ⁽¹⁾	By Trust
	08/08/2006		S ⁽²⁾	3,500 D \$ 48.25	560,336	I ⁽¹⁾	By Trust

Edgar Filing: PEABODY ENERGY CORP - Form 4

Common Stock									
Common Stock	08/08/2006	S ⁽²⁾	1,500	D	\$ 48.29	558,836	I ⁽¹⁾	By Trust	
Common Stock	08/08/2006	S ⁽²⁾	5,200	D	\$ 48.3	553,636	I ⁽¹⁾	By Trust	
Common Stock	08/08/2006	S ⁽²⁾	1,300	D	\$ 48.36	552,336	I ⁽¹⁾	By Trust	
Common Stock	08/08/2006	S ⁽²⁾	100	D	\$ 48.55	552,236	I ⁽¹⁾	By Trust	
Common Stock	08/08/2006	S ⁽²⁾	10,000	D	\$ 49.19	542,236	I ⁽¹⁾	By Trust	
Common Stock						32,874 ⁽³⁾	D		
Common Stock						19,442	I	By 401(k) Plan	
Common Stock						4,400	I	By Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 7.2975	08/08/2006		M		17,254		01/02/2004	01/02/2013	Common Stock	17,254
Employee Stock	\$ 7.2975	08/08/2006		M		32,746		01/02/2005	01/02/2013	Common Stock	32,746

Option
(right to
buy)

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
ENGELHARDT IRL F 701 MARKET STREET ST. LOUIS, MO 63101-1826	X

Signatures

Irl F. Engelhardt By: Jeffery L. Klinger,
Attorney-in-Fact

08/09/2006

____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to the Rule 10b5-1 trading plan referred to in footnote 2, upon exercise of the options the shares are immediately transferred to a family trust.
- (2) This sale was effected pursuant to a pre-existing Rule 10b5-1 trading plan adopted by the reporting person.
- (3) Includes 5,962 shares acquired pursuant to the Company's Employee Stock Purchase Plan.
- (4) Not applicable.
- (5) Does not include an additional 1,561,132 options with different expiration dates and exercise prices.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.