

HOME BANCSHARES INC  
Form 4  
September 04, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
French Tracy

2. Issuer Name and Ticker or Trading Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
P.O. BOX 966  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
08/30/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Centennial Bank Regional Pres.

CONWAY, AR 72033

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                  |                                      |  | Code                           | V Amount (D) Price  |   |  |   |
| Common Stock                     | 08/30/2013                           |  | P                              | 930 A \$ 25.5433  | 34,750.85   | D  |   |
| Common Stock                     |                                      |  |                                |   | 13,961.358  | I  | By IRA  |
| Common Stock - Performance Based |                                      |  |                                |   | 4,000 <sup>(5)</sup>  | D  |   |
| Common Stock - Restricted        |                                      |  |                                |   | 11,334 <sup>(2)</sup> <sup>(4)</sup> <sub>(6)</sub>   | D  |   |

Common Stock 18,424.146 I By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option                               | \$ 19.08   |                                      |  |                                |   | <u>(3)</u> 04/17/2023                                    | Common Stock  | 20,000                     |
| Performance Stock Option                   | \$ 5.54  |                                      |  |                                |   | 01/01/2010 03/13/2016                                    | Common Stock  | 40,000                     |
| Stock Option                               | \$ 17.25   |                                      |  |                                |   | <u>(1)</u> 01/17/2023                                    | Common Stock  | 20,000                     |

## Reporting Owners

| Reporting Owner Name / Address                   | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| French Tracy<br>P.O. BOX 966<br>CONWAY, AR 72033 |               |           | Centennial Bank Regional Pres. |       |

## Signatures

/s/ Tracy French by Rachel Wesson 09/04/2013

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option is exercisable in five equal annual installments. The first installment becomes exercisable on January 18, 2014.

(2) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.

(3) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 18, 2014.

(4) Restricted Stock granted on August 2, 2012 will "cliff" vest 100% three years from award date.

The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.

(6) Restricted Stock granted on January 18, 2013 will "cliff" vest 100% three years from award date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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