

GLU MOBILE INC
Form 4
February 14, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ludwig Eric R

(Last) (First) (Middle)

GLU MOBILE INC., 500 HOWARD STREET, SUITE 300

(Street)

SAN FRANCISCO, CA 94105

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction (Month/Day/Year)
02/13/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP and CFO

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount or Price	6. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/04/2013		G ⁽¹⁾	10,638	D	\$ 0	57,000	D	
Common Stock	11/04/2013		G ⁽¹⁾	10,638	A	\$ 0	25,702	I	Trust ⁽²⁾
Common Stock	02/13/2014		M ⁽³⁾	4,166	A	\$ 0.99	61,166	D	
Common Stock	02/13/2014		M ⁽³⁾	107,500	A	\$ 1.0648	168,866	D	
Common Stock	02/13/2014		M ⁽³⁾	37,500	A	\$ 1.07	206,166	D	

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Common Stock	02/13/2014		M ⁽³⁾	10,834	A	\$ 1.77	217,000	D	
Common Stock	02/13/2014		M ⁽³⁾	40,000	A	\$ 4.39	257,000	D	
Common Stock	02/13/2014		S ⁽³⁾	200,000	D	\$ 4.7615 (4)	57,000	D	
Common Stock	02/13/2014		S ⁽³⁾	14,870	D	\$ 4.7632 (5)	10,832	I	Trust ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 0.99	02/13/2014		M ⁽³⁾	4,166	(6)	04/08/2016	Common Stock	4,166
Stock Option (right to buy)	\$ 1.0648	02/13/2014		M ⁽³⁾	107,500	(7)	12/16/2015	Common Stock	107,500
Stock Option (right to buy)	\$ 1.07	02/13/2014		M ⁽³⁾	37,500	(8)	08/07/2015	Common Stock	37,500
Stock Option (right to buy)	\$ 1.77	02/13/2014		M ⁽³⁾	10,834	(9)	10/21/2016	Common Stock	10,834
Stock	\$ 4.39	02/13/2014		M ⁽³⁾	40,000	(10)	04/28/2014	Common	40,000

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