

CENTRAL GARDEN & PET CO

Form 4

December 11, 2015

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHICHESTER DAVID N

2. Issuer Name **and** Ticker or Trading
Symbol
CENTRAL GARDEN & PET CO
[CENT]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1340 TREAT BLVD., STE. 600
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/09/2015

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Interim CFO

WALNUT CREEK, CA 94597

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/09/2015		M	22,397 A	\$ 8.93 61,837	D	
Class A Common Stock	12/09/2015		F ⁽¹⁾	14,639 D	\$ 17.07 47,198	D	
Class A Common Stock	12/09/2015		M	18,493 A	\$ 7.21 65,691	D	
Class A Common	12/09/2015		F ⁽¹⁾	10,734 D	\$ 54,957 17.07	D	

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Stock

Class A

Common Stock	12/09/2015	M	6,761	A	\$ 9.86	61,718	D
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Class A

Common Stock	12/09/2015	<u>F⁽¹⁾</u>	4,688	D	\$ 17.07	57,030	D
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Common Stock

2,804	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.93	12/09/2015		M	22,397 (2)	(3) 08/11/2016	Class A Common Stock	22,397
Stock Option (Right to Buy)	\$ 7.21	12/09/2015		M	18,493 (2)	(4) 08/10/2017	Class A Common Stock	18,493
Stock Option (Right to Buy)	\$ 9.86	12/09/2015		M	6,761 (2)	(5) 08/10/2018	Class A Common Stock	6,761

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

CHICHESTER DAVID N
1340 TREAT BLVD., STE. 600
WALNUT CREEK, CA 94597

X

Interim
CFO

Signatures

/s/ JoAnn Jonte as attorney-in-fact for David N.
Chichester

12/10/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld by the Issuer in payment of the aggregate option exercise price and withholding tax liability incurred upon the
- (1) above-reported exercise of options. The amount of shares withheld is based on the respective average of the high and low sales prices on the date of the exercise.
- (2) Stock options granted under the Company's Nonemployee Director Equity Incentive Plan, as amended.
- (3) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 11, 2013, the date of the grant.
- (4) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 10, 2014, the date of the grant.
- (5) One-third of the total options granted became exercisable at each of 6 months, 18 months and 30 months after February 10, 2015, the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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