Edgar Filing: ORGANOVO HOLDINGS, INC. - Form 4

ORGANOV Form 4 August 23, 24	O HOLDINGS, II 016	NC.									
FORM Check thi if no long subject to Section 14 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	s box (er STATEM 6. r Filed pure inue. Section 17(a	IENT O suant to S a) of the 1	Was F CHAN Section 1 Public Ut	Shington, GES IN SECUR 6(a) of th tility Hole	D.C. 2054 BENEFIC ATTIES e Securitie	19 C IAL s Exc any A	OWN change Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	•	
(Print or Type R	Responses)										
KUSSMAN CRAIG Symbol				er Name and Ticker or Trading NOVO HOLDINGS, INC. D]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3. Date of (Month/D 6275 NANCY RIDGE DRIVE, 08/23/20 SUITE 110				-				Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
Filed(Mon			ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
SAN DIEGO	D, CA 92121							Person		1 8	
(City)	(State)	(Zip)	Tabl	e I - Non-E	erivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price 			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	08/23/2016			А	132,000 (1)	А	\$0	132,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
	Stock Option (Right to Buy)	\$ 4.01	08/23/2016		A	330,000	(2)	08/23/2026	Common Stock	330,000	
Reporting Owners											
	Reporting Owner Name / Address					Relationships					
KUSSMAN CRAIG			Director	10% Owne	er Officer		Other				

Chief Financial Officer

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KUSSMAN CRAIG 6275 NANCY RIDGE DRIVE, SUITE 110 SAN DIEGO, CA 92121

Signatures

/s/ Craig 08/23/2016 Kussman

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares represent a grant of Restricted Stock Units ("RSUs"). The RSUs represent a right to receive shares of the Issuer's common
 (1) stock, at no additional conversion or exercise price. 25% of the RSUs vest and settle on August 15, 2017 and the remaining RSUs vest and settle in 12 equal quarterly installments thereafter.

(2) 25% of the option shares vest and become exercisable on August 15, 2017 and the remaining shares vest and become exercisable in 12 equal quarterly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.