## Edgar Filing: Maroun Richard E - Form 4

| Maroun Richard I<br>Form 4  | E  |               |  |                            |                           |   |  |  |   |
|---|--|---------------|--|----------------------------|---------------------------|---|--|--|---|
| August 28, 2017   |  |               |  |                            |                           |   |  |  | PPROVAL   |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION  |  |               |  |                            |                           |   | N OMB<br>Number:   | 3235-0287  |   |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |               |  |                            |                           | Expires:<br>Estimated a<br>burden hou<br>response                             | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5                                    |  |   |
| may continue.<br><i>See</i> Instruction<br>1(b).  |  | 30(h)         | of the I   | nvestmen                   | t Compar                  | y Act of 1  | 940  |  |   |
| (Print or Type Respon   | nses)  |               |  |                            |                           |   |  |  |   |
| 1. Name and Address of Reporting Person <u>*</u><br>Maroun Richard E                              |  |               | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>ORGANOVO HOLDINGS, INC. |                            |                           | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |  |  |   |
| (Last) (  | (First) (  | Middle)       | [ONV(  | )<br>of Earliest T         | ransaction                |   | X Director   |  | Owner   |
| 6275 NANCY R<br>SUITE 110   |  |               |  | Day/Year)                  | ransaction                |   | Officer (giv<br>below)   |  | er (specify   |
| (<br>SAN DIEGO, CA  | Street)<br>A 92121   |               |  | endment, D<br>onth/Day/Yea | -                         | 1   | Applicable Line)<br>_X_ Form filed by  | Joint/Group Filin<br>y One Reporting Pe<br>More than One Re          | erson   |
| (City) (  | State)   | (Zip)         | Tab  | ole I - Non-J              | Derivative                | Securities A  | cquired, Disposed  | of, or Beneficial  | lly Owned   |
|   | nsaction Date<br>th/Day/Year)  | Execution any | Date, if   | Code<br>(Instr. 8)         |                           | (A) or<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Reminder: Report on   | a separate line  | e for each c  | lass of sec  | urities bene               | Perso<br>inforn<br>requir | ns who rest<br>nation cont<br>ed to respo<br>ys a curre                       | or indirectly.<br>spond to the collectained in this form<br>ond unless the fo<br>ntly valid OMB co                 | n are not<br>orm   | EC 1474<br>(9-02)   |
|   | Tab  |               |  |                            |                           | posed of, or<br>convertible s   | Beneficially Owner<br>securities)  | d  |   |
| 1. Title of 2.  | 3. Tran  | saction Date  | -  |                            | 4.                        | 5. Number   |  | isable and   | 7. Title and Amount of  |

Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative

Underlying Securities

Expiration Date

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| Security<br>(Instr. 3)               | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8) |        |     | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                     | S<br>( |
|--------------------------------------|---|------------|-------------------------|--------------------|--------|-----|---------------------|--------------------|------------------|-------------------------------------|--------|
|                                      |   |            |                         | Code V             | (A)    | (D) | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |        |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 1.97   | 08/24/2017 |                         | А                  | 42,500 |     | <u>(1)</u>          | 08/24/2027         | Common<br>Stock  | 42,500                              |        |

## **Reporting Owners**

| Reporting Ow   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |
| Maroun Richard E<br>6275 NANCY RIDO<br>SAN DIEGO, CA 9 | Х             |           |         |       |  |  |  |
| Signatures   |               |           |         |       |  |  |  |
| /s/ Richard<br>Maroun                                  | 08/28/2017    |           |         |       |  |  |  |
| <u>**</u> Signature of<br>Reporting Person             | Date          |           |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option shares vest and become exercisable in full on the earlier of (i) August 24, 2018 or (ii) immediately prior to commencement of the Issuer's next annual stockholder meeting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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