PEABODY MARK

Form 4

December 14, 2017

FO	R	M	4
----	---	---	---

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires:

2005

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Estimated average burden hours per response... 0.5

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

PEABODY MARK

Symbol

ASTRONICS CORP [ATRO] (Check all applicable)

(Last)

(City)

(Middle)

(Zip)

3. Date of Earliest Transaction

Director 10% Owner

130 COMMERCE WAY

(Month/Day/Year)

X_ Officer (give title Other (specify below)

12/12/2017

VP Astronics Advanced Electron

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

EAST AURORA, NY 14052

(State)

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4)

> Reported (A) Transaction(s)

(Instr. 3 and 4) Price

Code V Amount (D)

\$.01 PV

D Common 27,368

Stock

\$.01 PV

Class B 109,005 D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: PEABODY MARK - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Secu Acqu (A) o Disp (D)	rities hired or osed of 3, 4,	6. Date Exerci Expiration Da (Month/Day/Y	te	7. Title Amoun Underly Securit (Instr. 3	t of ying ies
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option	\$ 3.27						12/09/2009	12/09/2018	\$.01 PV Com Stk	16,880
Option	\$ 3.27						12/09/2009	12/09/2018	\$.01 PV Cl B Stk	23,785
Option	\$ 3.27						12/03/2010	12/03/2019	\$.01 PV Com Stk	16,840
Option	\$ 3.27						12/03/2010	12/03/2019	\$.01 PV Cl B Stk	23,729
Option	\$ 8.82						12/02/2011	12/02/2020	\$.01 PV Com Stk	6,500
Option	\$ 8.82						12/02/2011	12/02/2020	\$.01 PV Cl B Stk	9,159
Option	\$ 15.63						12/01/2012	12/01/2021	\$.01 PV Com Stk	4,300
Option	\$ 15.63						12/01/2012	12/01/2021	\$.01 PV Cl B Stk	5,117

8. Pric Deriva Securi (Instr.

Edgar Filing: PEABODY MARK - Form 4

Option	\$ 10.58				11/29/2013	11/29/2022	\$.01 PV Com Stk	7,400	
Option	\$ 10.58				11/29/2013	11/29/2022	\$.01 PV Cl B Stk	6,692	
Option	\$ 32.72				12/11/2014	12/11/2023	\$.01 PV Com Stk	2,990	
Option	\$ 32.72				12/11/2014	12/11/2023	\$.01 PV Cl B Stk	1,755	
Option	\$ 35.46				12/11/2015	12/11/2024	\$.01 PV Com Stk	3,470	
Option	\$ 35.46				12/11/2015	12/11/2024	\$.01 PV Cl B Stk	1,119	
Option	\$ 31.88				12/03/2016	12/03/2025	\$.01 PV Com Stk	4,500	
Option	\$ 31.88				12/03/2016	12/03/2025	\$.01 PV Cl B Stk	675	
Option	\$ 36.52				12/14/2017	12/14/2026	\$.01 PV Com Stk	4,820	
Option	\$ 40.95	12/12/2017	A(1)	7,010	12/12/2018	12/12/2027	\$.01 PV Com Stk	7,010	\$ 40

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

Reporting Owners 3

PEABODY MARK 130 COMMERCE WAY EAST AURORA, NY 14052

VP Astronics Advanced Electron

Signatures

/s/Julie Davis, as Power of Attorney for Mark Peabody

12/14/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the Astronics Corporation 2017 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4