

WILSON FLOYD C

Form 4

March 12, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
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if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILSON FLOYD C**2. Issuer Name **and** Ticker or Trading  
Symbol  
**HALCON RESOURCES CORP**  
**[HK]**5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**1000 LOUISIANA STREET, SUITE 1500**3. Date of Earliest Transaction  
(Month/Day/Year)  
**03/09/2018**☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
**Chairman, CEO & President**

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person**HOUSTON, TX 77002**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                   |
| Common<br>Stock                       | 03/09/2018                              |                                                             | P                                       |                                                                         | 650                                                                                                                | A                                                                    | \$<br>5.18                              |
| Common<br>Stock                       | 03/09/2018                              |                                                             | P                                       |                                                                         | 9,350                                                                                                              | A                                                                    | \$<br>5.19                              |
| Common<br>Stock                       | 03/09/2018                              |                                                             | P                                       |                                                                         | 10,000                                                                                                             | A                                                                    | \$<br>5.22                              |
| Common<br>Stock                       | 03/09/2018                              |                                                             | P                                       |                                                                         | 10,000                                                                                                             | A                                                                    | \$<br>5.23                              |
| Common<br>Stock                       | 03/09/2018                              |                                                             | P                                       |                                                                         | 20,000                                                                                                             | A                                                                    | \$<br>5.24                              |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | 1,669,486                               |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | 1,678,836                               |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | 1,688,836                               |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | 1,698,836                               |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | 1,718,836                               |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | D                                       |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | D                                       |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | D                                       |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | D                                       |
|                                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    |                                                                      | D                                       |

# Edgar Filing: WILSON FLOYD C - Form 4

|                 |       |   |                         |
|-----------------|-------|---|-------------------------|
| Common<br>Stock | 6,583 | I | By Trusts<br>(1)        |
| Common<br>Stock | 2,403 | I | By Family<br>Trusts (2) |
| Common<br>Stock | 324   | I | By Trust<br>(3)         |
| Common<br>Stock | 4,292 | I | By Spouse               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

| Reporting Owner Name / Address                                           | Relationships                                                 |
|--------------------------------------------------------------------------|---------------------------------------------------------------|
| WILSON FLOYD C<br>1000 LOUISIANA STREET, SUITE 1500<br>HOUSTON, TX 77002 | Director 10% Owner Officer<br>Chairman,<br>CEO &<br>President |

## Signatures

Floyd C. Wilson 03/12/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are held in trusts for the benefit of the reporting person's children. The reporting person's spouse is trustee of the trusts. The

(1) reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

The shares are held in seventeen trusts for the benefit of family members for which the reporting person serves as the trustee. The

(2) reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

The shares are held in a trust for the benefit of the reporting person's grandchild for which the reporting person serves as the trustee. The

(3) reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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