Edgar Filing: BLANK BURKHARD - Form 4

BLANK BU Form 4	JRKHARD										
August 03, 2	2018										
FORM 4 LINETED STATES SECURITIES AND EXCHANCE COMMISSION								OMB AF	PPROVAL		
	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287 January 31,			
Check th if no lon	cor										
subject t Section Form 4 o	o SIAIEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated average burden hours per response 0.8	
Form 5 obligation may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the l	Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section) of the Investment Company Act of 1940								
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> BLANK BURKHARD								5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last) (First) (Middle) 420 SAW MILL RIVER ROAD								Director 10% Owner _XOfficer (give title Other (specify below) below) Chief Medical Officer			
(Street)								6. Individual or Joint/Group Filing(Check			
ARDSLEY		-					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tab	la I Non	Donivotiv	Soon		ired, Disposed of,	or Donoficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	ed Date, if	3.		ties A sed of	cquired (A) (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)		
Common Stock	08/01/2018		М		6,250 (1)	А	\$ 16.5	34,400	D		
Common Stock	08/01/2018			S	6,250 (1)	D	\$ 24.6915 (2)	28,150	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction of Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 16.5	08/01/2018		М	6,250 (1)	(3)	05/01/2027	Common Stock	6,250	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BLANK BURKHARD 420 SAW MILL RIVER ROAD ARDSLEY, NY 10502			Chief Medical Officer				
0:							

Signatures

/s/ Burkhard 08/03/2018 Blank 08/03/2018 <u>**</u>Signature of Date Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise and sales pursuant to a 10b5-1 plan.

The price reported represents the weighted average sales price of shares sold in multiple transactions at prices ranging from \$24.40 to
 (2) \$25.30 per share. The reporting person will provide to the issuer, any security holder of the issuer or the SEC staff, upon request, information regarding the number of sold at each price within the range.

(3) The shares subject to this option vest over two years from the May 1, 2017 grant date, with the first 25% having vested at the end of six months after the grant date and the remaining 75% vesting in equal quarterly installments over the remainder of such two year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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