MIKUEN SCOTT T

Form 4

August 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * MIKUEN SCOTT T

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

HARRIS CORP /DE/ [HRS] 3. Date of Earliest Transaction

HARRIS CORPORATION, 1025

(First)

(Month/Day/Year)

08/24/2018

Director 10% Owner Other (specify _X__ Officer (give title

(Check all applicable)

below) Sr VP-General Counsel & Secy

WEST NASA BOULEVARD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MELBOURNE, FL 32919

| (City) | (State) | (Zip) Tabl | le I - Non-I | Derivative | Secu | rities Acqu | ired, Disposed of | , or Beneficiall | y Owned |
|---|---|---|--|---|-----------|--|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock, Par Value \$1.00 | 08/24/2018 | | M | 6,310 (1) | A | \$ 0 | 53,552.71 | D | |
| Common Stock, Par Value \$1.00 | 08/24/2018 | | A | 126 (2) | A | \$0 | 53,678.71 | D | |
| Common Stock, Par Value \$1.00 | 08/24/2018 | | F | 2,533 (3) | D | \$ 163.32 | 51,145.71 (4) | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | TransactionDerivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Underlying (Instr. 3 and |
|---|---|---|---|--|---------------------------------------|-------|--|--------------------|---------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title |
| Performance Stock Units | \$ 0 | 08/24/2018 | | M | | 6,310 | (1) | <u>(1)</u> | Commo Stock, Par Valu \$1.00 |
| Performance Stock Units | \$ 0 | 08/24/2018 | | A | 3,980 | | <u>(5)</u> | <u>(5)</u> | Commo Stock, Par Valu \$1.00 |
| Restricted Stock Units | \$ 0 | 08/24/2018 | | A | 1,990 | | (6) | <u>(6)</u> | Commo Stock, Par Valu \$1.00 |
| Non-Qualified Stock Option (Right to Buy | \$ 163.23 | 08/24/2018 | | A | 10,299 | | 08/24/2021(7) | 08/24/2028 | Commo Stock, Par Valu \$1.00 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|----------------|-------|--|--|--|
| . 9 | Director | 10% Owner | Officer | Other | | | |
| MIKUEN SCOTT T | | | | | | | |
| HARRIS CORPORATION | | | Sr VP-General | | | | |
| 1025 WEST NASA BOULEVARD | | | Counsel & Secy | | | | |
| MELBOURNE, FL 32919 | | | | | | | |

Reporting Owners 2

Signatures

/s/ Scott T. 08/28/2018 Mikuen

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Settlement in shares of common stock of performance stock units awarded on 8/28/2015 (previously reported) that vested at end of

 (1) 3-fiscal-year performance period on 6/29/2018. (Each performance stock unit represents a contingent right to 1 share of Issuer's common stock.)
- (2) Settlement in shares of common stock of additional performance stock units from award described in note (1) above that vested based on award payout formula.
- (3) Shares withheld by Issuer to pay tax liability on vesting of performance stock units previously awarded.
- Aggregate of shares listed includes: (a) 24.22 shares acquired through Harris Corporation 401(k) Retirement Plan ("Plan") from 4/11/18 (4) through 5/31/18; (b) 2.89 shares acquired through Harris Corporation Dividend Reinvestment Plan on 6/15/18; and (c) a reduction of 3.05 shares due rounding of previous reports by Plan's record keeper.
- Award of performance stock units subject to future vesting on 7/2/2021 in respect of 3-fiscal-year performance period that started (5) 6/30/2018 and also subject to future adjustment based on award payout formula, with vested units to be settled in shares of common stock within 2.5 months of vesting. (Each performance stock unit represents a contingent right to 1 share of Issuer's common stock.)
- (6) Award of restricted stock units subject to future vesting on 8/24/2021. (Each restricted stock unit represents a contingent right to 1 share of Issuer's common stock.)
- (7) Aggregate number of options vests and becomes exercisable as follows: 3,433 on first anniversary of grant date, additional 3,433 on second anniversary of grant date and remaining 3,433 on third anniversary of grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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