## Edgar Filing: KNIGHTS OF COLUMBUS - Form 5

KNIGHTS OF COLUMBUS Form 5 October 1 FOR

Form 5										
October 16, 20	)18									
FORM	5						OMB AP	PROVAL		
-	UNITH	ED STATH			EXCHANGE	COMMISSION	OMB Number:	3235-0362		
Check this b no longer su	bject		Washington, D.C. 20549					January 31, 2005		
to Section 16 Form 4 or Fo 5 obligations	orm A	NNUAL S		FATEMENT OF CHANGES IN BENEFICIAL				verage s per		
may continu See Instructi	e.	OWNERSHIP OF SECURITIES					response	1.0		
1(b).		pursuant to	Section 16	(a) of the Se	curities Exchang	ge Act of 1934,				
Form 3 Hold	lings Section	17(a) of the	e Public Util	lity Holding	Company Act o	f 1935 or Section	ı			
Reported Form 4		30(1	n) of the Inv	estment Cor	mpany Act of 19	40				
Transactions					1 2					
Reported										
1. Name and Ad	dress of Report	ting Person *	2. Issuer Na	ame <b>and</b> Ticke	er or Trading	5. Relationship of	Reporting Pers	on(s) to		
KNIGHTS O	-	-	Symbol			Issuer				
			NUVEEN FLOATING RATE							
			INCOME	INCOME FUND [JFR]			(Check all applicable)			
(Last)	(Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year En			Fiscal Year Ended	DirectorX 10% Owner					
			(Month/Da	y/Year)		Officer (give t		r (specify		
			07/31/20	18		below)	below)			
1 COLUMBU	JS PLAZA									
	(Street)		4. If Ameno	4. If Amendment, Date Original			6. Individual or Joint/Group Reporting			
			Filed(Month/Day/Year)							
						(check	applicable line)			
		(510								
NEW HAVE	N,A CIA 06	510				_X_ Form Filed by C	One Reporting Pe	rson		
						Form Filed by M Person				
(City)	(State)	(Zip)	Table	I - Non-Deriv	ative Securities Ac	quired, Disposed of,	, or Beneficiall	y Owned		
1.Title of	2. Transactio	n Date 24 1		3.	4. Securities Acqu			7. Nature		
Security (Month/Day/Year) Execution Date				Transaction			Ownership	of Indirect		
(Instr. 3)	• • • • • • • • • • • • • • • • • • • •			Beneficially	Form:	Beneficial				

Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	(A) or Di (Instr. 3,	ispose	d of (D)	Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
Preferred Stock 67072TAD0 (1)	Â	Â	3	Â	Â	Â	Â	D	Â
Preferred Stock 67072TAD0	01/13/2017	Â	S4	4,000	D	\$ 1,001	51,000,000	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless SEC 2270 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D Sa B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
I O	Director	10% Owner	Officer	Other			
KNIGHTS OF COLUMBUS 1 COLUMBUS PLAZA NEW HAVEN, CT 06510	Â	ÂX	Â	Â			
Signatures							
/s/ Gilles Marchand, Vice President		10/10/2018					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Knights of Columbus became a beneficial owner of these securities as of December 22, 2016, which made Knights of Columbus subject to Section 16 as a 10% holder based upon 90,200 shares of Term Preferred Shares outstanding as of January 31, 2017, as set forth in the

(1) is section to as a 10% noncer based upon 90,200 shares of refin referred shares outstanding as of standary 91, 2017, as section in the issuer's financial statements contained in its semi-annual report to shareholders for the period ended January 31, 2017, as filed with the Securities and Exchange Commission on April 4, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.