Edgar Filing: WESTWOOD HOLDINGS GROUP INC - Form 4

WESTWOOD HOLDINGS GROUP INC

Form 4

common

common

stock

February 29, 2016

1 Columny 2)	, 2010								
FORM	ПΔ					OMB AF	PPROVAL		
	UNITED	Washington, D.C. 20549							
Check the first of the control of th	STATEM 16. or Filed purs Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b) of the Investment Company Act of 1940					Expires: January 31, 2005 Estimated average burden hours per response 0.5		
1(b). (Print or Type	Responses)								
	Address of Reporting F	Symbol	er Name and Ticker or 'WOOD HOLDING WHG]	C	5. Relationship of Issuer (Check	Reporting Pers			
(Last) 200 CRESO 1200	(First) (M	(Month/	of Earliest Transaction (Day/Year) 2016		Director Officer (give below) Pres		Owner er (specify		
DALLAS,	(Street) TX 75201		nendment, Date Original onth/Day/Year)	ı	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) ((Zip) Tab	ble I - Non-Derivative	Securities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	• • • • • • • • • • • • • • • • • • • •			es Acquired posed of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
common stock	02/23/2016		F 11,599 (1)	D \$ 47.52	252,409	D			
common stock					700	I	As UTMA custodian for daughter		
common							As UTMA		

custodian

As UTMA

for son

700

700

I

I

stock custodian for son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title Amour		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date Exercisable	Expiration Date		Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CASEY BRIAN O 200 CRESCENT COURT SUITE 1200 DALLAS, TX 75201

President & CEO

Signatures

Julie K. Gerron. as

attorney-in-fact 02/29/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 11,599 shares were withheld by the Company to satisfy a portion of Mr. Casey's tax withholding obligation upon the vesting of restricted

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2