## Edgar Filing: HEINEMANN ROBERT - Form 4

Form 4	NN ROBERT									
January 11,									OMB AF	PROVAL
FORM	<b>4</b> UNITED	STATES					NGE C	OMMISSION	OMB Number:	3235-0287
Subject to Section 16. Form 4 or Form 5 obligations may continue Section 17(a) of the		suant to S a) of the I	Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5	
1(b).	<b>P</b> aspansas)									
(Print or Type	Kesponses)									
	Address of Reporting	Person <u>*</u>	Symbol	r Name and ( PETRO			0	5. Relationship of l Issuer	Reporting Pers	on(s) to
(Last)	(First) (N	Aiddle)		f Earliest Ti		50 [I		(Check	all applicable	)
	Y PETROLEUM Y, 1999 BROADV 0	VAY,	(Month/I 01/10/2	•				Director Officer (give t below) Presid		Owner rr (specify
	(Street)			endment, Da nth/Day/Year	-	ıl		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo	ne Reporting Pe	rson
DENVER,	CO 80202							Person	ore than one Re	porting
(City)	(State)	(Zip)	Tab	le I - Non-E	Derivative	Secur	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock						(- )		14,425	I	Held in 401(k) Plan
Class A Common Stock	01/10/2013			S	100	D	\$ 35.36	267,231	D	
Class A Common Stock	01/10/2013			S	300	D	\$ 35.37	266,931	D	
Class A	01/10/2013			S	200	D	\$ 35.39	266,731	D	

Common Stock							
Class A Common Stock	01/10/2013	S	300	D	\$ 35.4	266,431	D
Class A Common Stock	01/10/2013	S	100	D	\$ 35.405	266,331	D
Class A Common Stock	01/10/2013	S	195	D	\$ 35.44	266,136	D
Class A Common Stock	01/10/2013	S	100	D	\$ 35.449	266,036	D
Class A Common Stock	01/10/2013	S	400	D	\$ 35.45	265,636	D
Class A Common Stock	01/10/2013	S	600	D	\$ 35.49	265,036	D
Class A Common Stock	01/10/2013	S	100	D	\$ 35.51	264,936	D
Class A Common Stock	01/10/2013	S	100	D	\$ 35.53	264,836	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and Expiration	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Date	Underlying Secur
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	
	Derivative				Securities	3	
	Security				Acquired		
					(A) or		
					Disposed		
					of (D)		
					(Instr. 3,		
					4, and 5)		
				Code V	(A) (D)	Date Exercisable Expiration Date	Title

					Am Nur Sha
Nonstatutory Stock Option 11-23-04	\$ 21.58	11/23/2005	11/23/2014	Class A Common Stock	10
Nonstatutory Stock Option 12-15-05	\$ 30.645	12/15/2006	12/15/2015	Class A Common Stock	15
Phantom Stock Units (1)	\$ 0	08/08/1988	08/08/1988	Class A Common Stock	3
Nonstatutory Stock Option 12-15-06	\$ 32.565	12/15/2007	12/14/2016	Class A Common Stock	17
2007 Restricted Stock Unit (1)	\$ 0 <u>(3)</u>	12/14/2008(4)	12/13/2017 <u>(5)</u>	Class A Common Stock	17
NSO 2007	\$ 43.61	12/14/2008	12/13/2017	Class A Common Stock	89
2008 Restricted Stock Units (1)	\$ 0 <u>(3)</u>	12/12/2009(4)	12/11/2018(5)	Class A Common Stock	13
2009 Restricted Stock Units	\$ 0	12/11/2010	12/11/2019	Class A Common Stock	97
Perf Based RSUs 3-16-10	\$ 0 <u>(6)</u>	12/31/2012 <u>(6)</u>	12/31/2012	Class A Common Stock	42
March 2011 Employee RSU Grant	\$ 0	03/02/2012	03/02/2021	Class A Common Stock	21
Non-Statutory Stock Option 3-2-2011 - \$48.50	\$ 48.5	03/02/2012	03/02/2021	Class A Common Stock	33
Perf Based RSU 3-2-2011	\$ 0	12/31/2013	03/02/2021	Class A Common Stock	25
Perf Based RSUs 3-2-12	\$ 0	12/31/2014	03/02/2022	Class A Common Stock	23
	\$ 0	03/02/2013	03/02/2022		26

March 2, 2012 Employee RSU Grant				Class A Common Stock	
Non Statutory Stock Option 3-2-12	\$ 53.02	03/02/2013	03/02/2022	Class A Common Stock	01

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
HEINEMANN ROBERT C/O BERRY PETROLEUM COMPANY 1999 BROADWAY, SUITE 3700 DENVER, CO 80202			President and CEO	
Signatures				
Kenneth A Olson under POA for Robert Heinemann		01/11/	/2013	
**Signature of Reporting Person		Dat	te	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 for 1
- (2) Phantom Stock Units acquired under the Company's Non-Employee Director Deferred Compensation Plan in a transaction exempt under Rule 16b-3(c). Shares of Common Stock are issued under terms of the Plan upon resignation from the board of directors.
- (3) Each RSU represents a contingent right to receive one share of Berry Petroleum Company Class A Common Stock
- (4) The RSU granted vest 25% per year beginning one year after grant but the receipt of shares are subject to a deferral period which is generally at least four years from grant date as per the deferral election.
- (5) The RSU is subject to a deferral election. Shares of Class A Common Stock will be delivered to the reporting person as per the terms of the deferral election.
- (6) Each RSU represents a contingent right to receive one share of Berry Petroleum Company Class A Common Stock if certain pre-established performance factors, as set forth in the Company's Form 8-K dated March 18, 2010, are met.
- (7) Per the Agreement this is the maximum number of performance based RSUs that may be received if all performance factors are achieved as outlined in the Form 8-K dated March 18, 2010.

## **Remarks:**

All shares sold were to cover the tax obligation from the previous days restricted stock unit share issuance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

31