#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

#### ALLIANCE RESOURCE PARTNERS LP

Form 4

August 02, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* GILBERT DAVE A

(First)

(Street)

(State)

(Middle)

(Zip)

Symbol

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

ALLIANCE RESOURCE

PARTNERS LP [ARLP] 3. Date of Earliest Transaction

Director 10% Owner Other (specify

(Check all applicable)

VP of Info Tech Services

X\_ Officer (give title below)

1717 S. BOULDER **AVENUE, SUITE 600** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

08/02/2005

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**TULSA, OK 74119** 

(City)

unit

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | Securities<br>Beneficially<br>Owned                                  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I) | 7. Nature of Indirect Beneficial Ownership |
|--------------------------------------|---|---|---|---|--|--|--|
| Common unit                          |   |   | Code V                                  | (A)<br>or<br>Amount (D) Price                                       | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)<br>1,475 | (Instr. 4)   | (Instr. 4)                                 |

600

D

Common 08/02/2005

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

875

### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. Price of Derivative Security (Instr. 5)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Phantom unit  | <u>(2)</u>  |                                      |   |  |   | <u>(1)</u>          | (5)                | Common unit   | 2,031                                  |
| Restricted unit                                     | <u>(2)</u>  |                                      |   |  |   | (3)                 | (5)                | Common unit   | 2,000                                  |
| Restricted unit                                     | <u>(2)</u>  |                                      |   |  |   | <u>(4)</u>          | <u>(5)</u>         | Common unit   | 1,400                                  |
| Restricted unit                                     | <u>(2)</u>  |                                      |   |  |   | <u>(6)</u>          | (5)                | Common unit   | 750                                    |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |
|--------------------------------|---------------|-----------|---------|-------|--|
|                                | Director      | 10% Owner | Officer | Other |  |

GILBERT DAVE A

1717 S. BOULDER AVENUE

SUITE 600

**TULSA, OK 74119** 

VP of Info Tech Services

### **Signatures**

David A. Gilbert 08/02/2005

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.
- (**2**) 1 for 1

(3)

Reporting Owners 2

#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

The Restricted units will vest on September 30, 2005 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

- (4) The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.
- (5) Not applicable
- The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.