#### ROCKWELL COLLINS INC

Form 4

November 13, 2006

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16.

Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CHADICK GARY R

ROCKWELL COLLINS INC [COL]

(Check all applicable)

(First) (Middle) (Last) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

M/S 124-323, 400 COLLINS ROAD 11/09/2006

below) Sr. VP, Gnrl Counsel and Secy

NE

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

**CEDAR RAPIDS, IA 52498-0001** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

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| (Instr. 3)                      | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. |   | Acquired or Dispose (D) (Instr. 3, 4 and 5) | ed of |                     |                    |                 |                                     |
|---------------------------------|------------------------------------|------------|------------------|---------|---|---|-------|---------------------|--------------------|-----------------|-------------------------------------|
|                                 |                                    |            |                  | Code    | V | (A)   | (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Stock Option (Right to Buy) (1) | \$ 57.92                           | 11/09/2006 |                  | A       |   | 15,100                                      |       | (2)                 | 11/09/2016         | Common<br>Stock | 15,100                              |

Relationships

Sr. VP, Gnrl

Counsel and

Secy

# **Reporting Owners**

| Reporting Owner Name / Address |          |           |         |       |
|--------------------------------|----------|-----------|---------|-------|
|                                | Director | 10% Owner | Officer | Other |

CHADICK GARY R
M/S 124-323
400 COLLINS ROAD NE
CEDAR RAPIDS, IA 52498-0001

### **Signatures**

/s/ Gary R.

Chadick 11/13/2006

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock option granted pursuant to the Rockwell Collins, Inc. 2006 Long-Term Incentives Plan.
- (2) The options vest in three substantially equal annual installments beginning 11/09/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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