

BIO RAD LABORATORIES INC

Form 3

September 12, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â HERTIA JOHN

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/10/2014

3. Issuer Name and Ticker or Trading Symbol

BIO RAD LABORATORIES INC [BIO, BIO.B]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Executive Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

C/O BIO-RAD

LABORATORIES, INC.,Â 1000

ALFRED NOBEL DRIVE

(Street)

HERCULES,Â CAÂ 94547

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Bio-Rad A Common Stock

7,899

D

Â

Bio-Rad A Common Stock

252

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Incentive Stock Option (right to buy)	Â <u>(1)</u>	04/03/2016	Bio-Rad A Common Stock	1,696	\$ 62.47	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	04/03/2016	Bio-Rad A Common Stock	4,304	\$ 62.47	D	Â
Restricted Stock Units	Â <u>(2)</u>	Â <u>(2)</u>	Bio-Rad A Common Stock	1,200	\$ 0 <u>(6)</u>	D	Â
Restricted Stock Units	Â <u>(3)</u>	Â <u>(3)</u>	Bio-Rad A Common Stock	1,800	\$ 0 <u>(6)</u>	D	Â
Restricted Stock Units	Â <u>(4)</u>	Â <u>(4)</u>	Bio-Rad A Common Stock	2,400	\$ 0 <u>(6)</u>	D	Â
Restricted Stock Units	Â <u>(5)</u>	Â <u>(5)</u>	Bio-Rad A Common Stock	3,500	\$ 0 <u>(6)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HERTIA JOHN C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES,Â CAÂ 94547	Â	Â	Â Executive Vice President	Â

Signatures

John Hertia 09/10/2014

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option vested in five equal annual installments beginning on April 3, 2007.
- (2) The restricted stock units vest in five equal annual installments beginning September 8, 2011.
- (3) The restricted stock units vest in five equal annual installments beginning November 4, 2012.
- (4) The restricted stock units vest in five equal annual installments beginning September 12, 2013.
- (5) The restricted stock units vest in five equal annual installments beginning September 11, 2014
- (6) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class A common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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