INC Research Holdings, Inc.

Form 4

January 04, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Add Rush Gregory	lress of Reporting Person * S	2. Issuer Name <b>and</b> Ticker or Trading Symbol INC Research Holdings, Inc. [INCR]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction				
C/O INC RESEARCH HOLDINGS, INC., 3201 BEECHLEAF COURT, SUITE 600		(Month/Day/Year) 01/03/2017	Director 10% Owner _X Officer (give title Other (specify below)  Executive VP and CFO			
	(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
RALEIGH, NC 27604		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

Class A

01/03/2017

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired (A) onor Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	01/03/2017		Code V $M_{\underline{(1)}}$	Amount 35,503	(D)	Price \$ 10.06	, , , , , , , , , , , , , , , , , , ,	D	
Class A Common Stock	01/03/2017		S(1)	34,903	D	\$ 51.893	47,809	D	
Class A Common Stock	01/03/2017		S(1)	600	D	\$ 52.398 (3)	47,209	D	

5,917

\$ 16.06 53,126

D

 $M_{\underline{-}1}^{(1)}$ 

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Common Stock							
Class A Common Stock	01/03/2017	S <u>(1)</u>	5,717	D	\$ 51.894 (4)	47,409	D
Class A Common Stock	01/03/2017	S(1)	200	D	\$ 52.4 (5)	47,209	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onDe Sec Ac or I (D) (In	curities equired (A) Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy Class A Common Stock)	\$ 10.06	01/03/2017		M(1)		35,503	<u>(6)</u>	08/30/2023	Class A Common Stock	35,503
Stock Option (Right to Buy Class A Common Stock)	\$ 16.06	01/03/2017		M <u>(1)</u>		5,917	<u>(7)</u>	06/30/2024	Class A Common Stock	5,917

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

Rush Gregory S C/O INC RESEARCH HOLDINGS, INC. 3201 BEECHLEAF COURT, SUITE 600 RALEIGH, NC 27604

Executive VP and CFO

#### **Signatures**

/s/ Gregory S. 01/04/2017

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 9, 2016.
- The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$51.30 (2) to \$52.25, inclusive. The reporting person will provide to the INC Research Holdings, Inc. (the "Issuer"), any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$52.30 (3) to \$52.55, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- The price reported in column 4 is a weighted-average price. These shares were sold in multiple transactions at prices ranging from \$51.35 (4) to \$52.15, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- The price reported in column 4 is a weighted-average price. These shares were purchased in multiple transactions at prices ranging from (5) \$52.35 to \$52.45, inclusive. The reporting person will provide to the Issuer, any security holder of the Issuer, or the SEC staff, upon request, information regarding the number of shares purchased at each price within the range.
- One half of the shares subject to this option vest yearly in five equal installments beginning on August 30, 2014, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.
- One half of the shares subject to this option vest yearly in five equal installments beginning on June 30, 2015, subject to continued employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2014, subject to continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3