

DREW JOHN

Form 4

January 05, 2010

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Trudeau Robert

(Last) (First) (Middle)

C/O TECHNOLOGY CROSSOVER  
VENTURES, 528 RAMONA  
STREET

(Street)

PALO ALTO, CA 94301

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
Interactive Brokers Group, Inc.  
[IBKR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/31/2009

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☐ Officer (give title below) ☒ Other (specify  
below)  
May be part of a 13(d) group

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2009		A	(A) or (D) Amount (1) 4,233 Price \$ 0	4,233	I	Robert W. Trudeau (2)
Common Stock					1,487,970	I	TCV VI, L.P. (3)
Common Stock					2,289,635	I	TCV VII, L.P. (4)
Common Stock					1,189,061	I	TCV VII (A), L.P. (5)

Common Stock	33,334	I	TCV Member Fund, L.P. (6)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Trudeau Robert C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	X	X		May be part of a 13(d) group
HOAG JAY C C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301		X		May be part of a 13(d) group
KIMBALL RICK C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301		X		May be part of a 13(d) group
DREW JOHN C/O TECHNOLOGY CROSSOVER VENTURES		X		May be part of a 13(d) group

528 RAMONA STREET  
PALO ALTO, CA 94301

REYNOLDS JON Q JR  
C/O TECHNOLOGY CROSSOVER VENTURES  
528 RAMONA STREET  
PALO ALTO, CA 94301

X

May be part of a 13(d)  
group

GRIFFITH WILLIAM  
C/O TECHNOLOGY CROSSOVER VENTURES  
528 RAMONA STREET  
PALO ALTO, CA 94301

X

May be part of a 13(d)  
group

Marshall Christopher P  
C/O TECHNOLOGY CROSSOVER VENTURES  
528 RAMONA STREET  
PALO ALTO, CA 94301

May be part of a 13(d)  
group

## Signatures

Frederic D. Fenton Authorized signatory for Robert W. Trudeau 01/05/2010

\_\_Signature of Reporting Person

Date

Frederic D. Fenton Authorized signatory for Jay C. Hoag 01/05/2010

\_\_Signature of Reporting Person

Date

Frederic D. Fenton Authorized signatory for Richard H. Kimball 01/05/2010

\_\_Signature of Reporting Person

Date

Frederic D. Fenton Authorized signatory for John L. Drew 01/05/2010

\_\_Signature of Reporting Person

Date

Frederic D. Fenton Authorized signatory for Jon Q. Reynolds, Jr. 01/05/2010

\_\_Signature of Reporting Person

Date

Frederic D. Fenton Authorized signatory for William J.G. Griffith IV 01/05/2010

\_\_Signature of Reporting Person

Date

Frederic D. Fenton Authorized signatory for Christopher P. Marshall 01/05/2010

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents a grant of restricted stock which will vest in five equal annual installments, beginning on December 31, 2010.

These shares of restricted stock are directly held by Robert W. Trudeau. Mr. Trudeau has the sole voting and dispositive power over the shares; however, TCV VI Management, L.L.C. ("Management VI") and TCV VII Management, L.L.C. ("Management VII") collectively own 100% of the pecuniary interest therein. Mr. Trudeau, Jay C. Hoag, Richard H. Kimball, John L. Drew, Jon Q. Reynolds, Jr., and William J.G. Griffith IV (collectively, the "TCM VI Members") are members of Management VI and each of the TCM VI Members and Christopher P. Marshall (collectively, the "TCM VII Members") are members of Management VII, but each disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein.

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- (3) These securities are directly held by TCV VI, L.P. The TCM VI Members are Class A Members of Technology Crossover Management VI, L.L.C. ("TCM VI"), which is the sole general partner of TCV VI, L.P. The TCM VI Members and TCM VI may be deemed to beneficially own the securities held by TCV VI, L.P., but the TCM VI Members and TCM VI disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (4) These securities are directly held by TCV VII, L.P. The TCM VII Members are Class A Directors of Technology Crossover Management VII, Ltd. ("TCM VII") and limited partners of Technology Crossover Management VII, L.P. ("TCM VII LP"). TCM VII is the general partner of TCM VII LP, which is the general partner of TCV VII, L.P. The TCM VII Members, TCM VII and TCM VII LP may be deemed to beneficially own the securities held by TCV VII, L.P., but the TCM VII Members, TCM VII and TCM VII LP disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (5) These securities are directly held by TCV VII (A), L.P. The TCM VII Members are Class A Directors of TCM VII and limited partners of TCM VII LP. TCM VII is the general partner of TCM VII LP, which is the general partner of TCV VII (A), L.P. The TCM VII Members, TCM VII and TCM VII LP may be deemed to beneficially own the securities held by TCV VII (A), L.P., but the TCM VII Members, TCM VII and TCM VII LP disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (6) These securities are directly held by TCV Member Fund, L.P. ("TCV MF"). The TCM VII Members are Class A Directors of TCM VII, which is a general partner of TCV MF, and limited partners of TCV MF. The TCM VI Members are Class A Members of TCM VI, which is also a general partner of TCV MF. The TCM VII Members, TCM VII, the TCM VI Members and TCM VI may be deemed to beneficially own certain of the securities held by TCV MF, but the TCM VII Members, TCM VII, the TCM VI Members and TCM VI disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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