## Edgar Filing: Steinberg Richard A - Form 4

| Steinberg F<br>Form 4  |  |                 |  |  |   |        |             |  |   |   |  |  |
|--|--|-----------------|--|--|---|--------|-------------|--|---|---|--|--|
| May 22, 20   | ЛЛ   | STATES          | SFCU   | DITIFS   | AND F                                       | VCH    | IANCE       | COMMISSION   |   | APPROVAL  |  |  |
| UNITED STATES SECU   |  |                 |  | URITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549  |   |        |             |  |   | 3235-0287   |  |  |
| Check<br>if no lo<br>subject<br>Section<br>Form 4<br>Form 5    | to SIAIE                                   |                 |  |  |   |        |             |  |   | January 31,<br>2005<br>d average<br>nours per<br>e 0.5            |  |  |
| obligat<br>may co<br><i>See</i> Ins<br>1(b).<br>(Print or Type | ntinue.<br>truction                        | (a) of the      | Public I   |  | olding C                                    | ompa   | any Act     | of 1935 or Section   | on  |   |  |  |
|  | Address of Reporting                       | Person <u>*</u> | 2. Issu  | ıer Name <b>a</b>  | nd Ticker                                   | or Tra | ading       | 5. Relationship of   | of Reporting I  | Person(s) to  |  |  |
| Steinberg Richard A  |  |                 | 2. Issuer Name and Ticker or Trading<br>Symbol<br>COLUMBUS MCKINNON CORP<br>[CMCO] |  |   |        |             | Issuer (Check all applicable)  |   |   |  |  |
| (Last)   |  |                 |  |  | te of Earliest Transaction<br>hth/Day/Year) |        |             |  | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below) |   |  |  |
| 140 JOHN<br>PARKWA   | I JAMES AUDUE<br>AY                        | BON             | 05/19/   | 2012   |   |        |             | below)<br>V.P  | Human Reso  | ources  |  |  |
|  |  |                 |  | Amendment, Date Original<br>l(Month/Day/Year)  |   |        |             | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person            |   |   |  |  |
| AMHERS   | T, NY 14228-119                            | 7               |  |  |   |        |             | Form filed by<br>Person  | More than One   | e Reporting   |  |  |
| (City)   | (State)                                    | (Zip)           | Ta   | ble I - Non  | -Derivati                                   | ve Sec | curities A  | cquired, Disposed  | of, or Benefic  | cially Owned  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                           | (Month/Day/Year) Execution Date, if<br>any |                 |  | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8)<br>(A)<br>or<br>Code V Amount (D) Price |   |        |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock  |  |                 |  |  |   |        |             | 4,939  | D   |   |  |  |
| Common<br>Stock  | 05/19/2012                                 |                 |  | F  | 93 <u>(1)</u>                               | D      | \$<br>13.43 | 4,846  | D   |   |  |  |
| Common<br>Stock  | 05/21/2012                                 |                 |  | А  | 2,258<br>(2)                                | А      | \$0         | 7,104 (3)  | D   |   |  |  |
| Common<br>Stock  |  |                 |  |  |   |        |             | 725.6003 (4)   | D   |   |  |  |
| Common<br>Stock  |  |                 |  |  |   |        |             | 554,199.6899   | Ι   | Additional shares held by   |  |  |

(Right to Buy)

|   |   |   |   |  |   |  | 3 trus             | ing<br>n is 1 of<br>tees;<br>LAIMS<br>icial                  |                           |
|---|---|---|---|--|---|--|--------------------|--|---------------------------|
| Reminder: Report of                                 | on a separate li  | ine for each class of se                  | Pe<br>inf<br>rec<br>dis                                     | rsons who<br>ormation o<br>quired to r | o respond to<br>contained in<br>espond unles  | the collection this form are                                   |                    | 474<br>02)   |                           |
|   | Ta  | able II - Derivative S<br>(e.g., puts, ca | ecurities Acquired,<br>Ills, warrants, optio                |  |   |  |                    |  |                           |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year)   | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amour<br>Underlying Securit<br>(Instr. 3 and 4) |                           |
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title  | Amo<br>or<br>Num<br>of Sh |
| Incentive<br>Stock Options<br>(Right to Buy)        | \$ 21.61  |   |   |  |   | 10/16/2006   | 10/15/2016         | Common<br>Stock  | 45,(                      |
| Incentive<br>Stock Options<br>(Right to Buy)        | \$ 28.45  |   |   |  |   | 05/19/2009   | 05/18/2018         | Common<br>Stock  | 1,3                       |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 13.27  |   |   |  |   | 05/18/2010   | 05/17/2019         | Common<br>Stock  | 3,8                       |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 18.24  |   |   |  |   | 05/17/2011   | 05/16/2020         | Common<br>Stock  | 2,7                       |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 19.5   |   |   |  |   | 05/23/2012   | 05/22/2021         | Common<br>Stock  | 2,6                       |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 13.43  | 05/21/2012                                |   | A                                      | 4,528   | (10)   | 05/20/2022         | Common<br>Stock  | 4,5                       |

ESOP;

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b> | Relationships |           |           |       |  |  |
|---------------------------------------|---------------|-----------|-----------|-------|--|--|
|                                       | Director      | 10% Owner | Officer   | Other |  |  |
| Steinberg Richard A                   |               |           | V.P       |       |  |  |
| 140 JOHN JAMES AUDUBON PARKWAY        |               |           | Human     |       |  |  |
| AMHERST, NY 14228-1197                |               |           | Resources |       |  |  |
| Signaturos                            |               |           |           |       |  |  |

## Signatures

Richard A. 05/22/2012 Steinberg

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares withheld to satisy tax withholding obligation upon vesting 230 restricted stock units on 5/19/2012.

Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan
(2) dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfetable 25% per year for four years beginning 5/21/13, if reporting person remains a director of issuer.

Includes 5,153 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 507 shares become fully vested and non-forfeitable 5/18/2013; 230 shares become fully vested and non-forfeitable 5/19/2013, 736 shares become fully vested and

- (3) non-forfeitable 50% per year for two years beginning 5/17/2013, 1,422 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, and the remaining 2,258 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term (10) Incentive Plan dated as of July 26, 2010; options become exercicable 25% per year for four years beginning 5/21/2013 if reporting
- (10) Incentive Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.