COLUMBUS MCKINNON CORP

Form 4 May 27, 2015

FORM 4

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Po Steinberg Richard A	erson <u>*</u>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		COLUMBUS MCKINNON CORP [CMCO]	(Check all applicable)		
(Last) (First) (M	iddle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% Owner Officer (give title Other (specify		
140 JOHN JAMES AUDUBON PARKWAY		05/23/2015	below) below) V.P Human Resources		
(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
AMHERST, NY 14228-1197		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Z	Zip)	Table I - Non-Derivative Securities Acc	united Disposed of or Reneficially Owne		

	•						Person		
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Disposed (Instr. 3, 4 a)	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Commo	n		Code V	Amount	(D)	Price	15,807.9118	D	
Commo	n 05/23/2015		F	131.7186 (1)	D	\$ 24.25	15,676.1932 (2)	D	
Commo	n						1,030.3018 (3)	D	
Commo	n						430,593.6982	I	Additional shares held by ESOP;

reporting

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person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (Right to B						10/16/2006	10/15/2015	Common Stock	12,409
Incentive Stock Option (Right to B						05/19/2009	05/18/2018	Common Stock	1,307
Non-Qualif Stock Option (Right to B	ons \$ 13.27					05/18/2010	05/17/2019	Common Stock	3,804
Non-Qualif Stock Option (Right to B	ons \$ 18.24					05/17/2011	05/16/2020	Common Stock	2,795
Non-Qualif Stock Option (Right to B	ons \$ 19.5					05/23/2012	05/22/2021	Common Stock	2,685
Non-Qualif Stock Optio (Right to B	ons \$ 13.43					05/21/2013	05/20/2022	Common Stock	4,528
	\$ 18.95					05/20/2014	05/20/2023		

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Non-Qualified Stock Options (Right to Buy)				Common Stock	3,771 (7)
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2015	05/19/2024	Common Stcok	1,309
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2016	05/17/2025	Common Stock	4,162

Reporting Owners

Reporting Owner Name / Address	Relationships						
. 0	Director	10% Owner	Officer	Other			
Steinberg Richard A			V.P				
140 JOHN JAMES AUDUBON PARKWAY			Human				
AMHERST, NY 14228-1197			Resources				

Signatures

Reporting Person

Richard A.
Steinberg

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 358.7186 restricted stock units on 5/23/2015.
 - Includes 4,976.1932 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 569.3148 shares become fully vested and non-forfeitable on 5/21/2016, 900.8274 shares become fully vested and non-forfeitable 50% per year for two years
- (2) beginning 5/20/2016, 988.051 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016, 1,073 shares become fully vested and non-forfeitable on 5/20/2016, and the remaining 1,445 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) The reporting person exercised 10,000 options on 2/25/2015. The remaining 12,409 options are all exercisable, subject to IRS limitations.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

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Reporting Owners 3